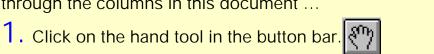
# Instructions

Scrolling through a two-column document on-screen from the bottom of one column to the top of the next, and so on, can get very tedious. Fortunately, "column threading" is automatic with this software. Here are the basic tools and techniques that you need to know to efficiently navigate through the columns in this document ...



appears in the status bar.

2. Whenever the hand cursor is positioned over a column, the cursor changes to the "read article cursor", and "Read Article" appears in the status bar to indicate that this text is part of an "article". An article is a collection of columns selected by the editor that comprise one subject, like one of the articles on the front page of a newspaper. Each first-level section (1.1, 1.2, 1.3...) of the NTIA Manual has been defined as a separate article. Click any part of the article to start reading at that point, or control-click to start at the beginning of the article. The cursor now changes to the follow-article cursor, and "Follow Article"

1 of 3

- 3. To page down, simply click the mouse, or use the scrollbar, or press the PageDown key. You can keep track of where you are on the page if you're using the thumbnails-and-page view. In this view a selection rectangle moves over a thumbnail of the page as you scroll through the columns in the page view window.
- 4. You can continue to click until you reach the end of the article. At the end of the article, the cursor changes to the end-article cursor, and "End Article" appears in the status bar. Click again to return to the page view displayed before you started reading the article. Click the fit page button.
- 5. If you want to exit before the end of the article...
  - select any navigation method (but not Enter or Return)
  - Go to another article or page
  - Hold down Shift + Ctrl and click.



- You can also select which article (NTIA Manual Section) to view by choosing "Articles..." from the View menu, and then selecting the article you want from the dialog box that appears. You can keep this dialog box displayed so you can go from one article to another, or better yet, use the bookmarks method described in #7 below.
- 7. The best way to select which article (NTIA Manual Section) to view is to switch to the "Bookmarks-and-Page" view, click on the section name bookmark, click with the hand cursor on the page, then navigate with the hand tool as described in #1-5 above. Links to all of the sections are provided as well as links to tables, figures, endnotes, and even these instructions.
- 8. To select text within a column, click the text selection tool, hold down the Control key, and drag to select the text you want to copy.

### **CHAPTER 3**

# **International Matters**

### 3.1 TREATIES AND AGREEMENTS

The primary treaties and other international agreements in force relating to radiocommunication and to which the United States is a part e as follows:

The International Telecommunication Convention was signed at Nairobi on November 6, 1982. The United States deposited its instrument of ratification on January 7, 1986.

The Radio Regulations annexed to the International Telecommunication Convention were signed at Geneva on December 6, 1979 and entered into force with respect to the United States on January 1, 1982.

The United States-Canada Agreement relating to the Coordination and Use of Radio Frequencies above 30 MHz was effected by an exchange of notes at Ottawa on October 24, 1962. A revision to the Technical Annex to the Agreement, made in October 1964 at Washington, was effected by an exchange of notes signed by the United States on June 16, 1965 and by Canada on June 24, 1965. The revision entered into force on June 24, 1965. A revision to this Agreement to add Arrangement E (Arrangement between the Department of Communications of Canada and the National Telecommunications and Information Administration and the Federal Communications Commission of the United States concerning the use of the 406.1 to 430 MHz band in Canada-United States Border Areas) was effected by an exchange of notes signed by the United States on February 26, 1982 and Canada on April 7, 1982.

Inquiries concerning the purchase of copies of the ITU Convention, the Radio Regulations, and the partial revisions thereto, should be sent to the Secretary-General, International Telecommunication Union, Geneva, Switzerland. Inquiries concerning the substance of the publications should be addressed either to the Office of International Communications Policy, Department of State, or to the Assistant Secretary of Commerce for Communications and

Information.

Inquiries concerning copies of the U.S.-Canada Agreement should refer to "Treaties and Other International Acts Series 5205 and 5833" and should be sent to the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C.

# 3.2 THE INTERNATIONAL TELECOMMUNI-CATION UNION

The International Telecommunication Union is the international body responsible for international frequency allocations, worldwide telecommunications standards and telecommunication development activities. One hundred eighty five (185) Countries are Members of the ITU. The broad functions of the ITU are the regulation, coordination and development of international telecommunications. The United States is an active member of the ITU and its work is considered critical to the interest of the United States.

# 3.2.1 Origin and Evolution

- 1. The International Telecommunication Union (ITU) is the oldest of the intergovernmental organizations that have become specialized agencies within the United Nations. The ITU was born with the spread of one of the great inventions of the 19th century, the telegraph, which crossed national frontiers to link major cities in Europe. International action was essential to establish an international telegraph network. It was necessary to reach agreement on the technical systems to be used, on uniform methods of handling messages, and on the collection of charges. A procedure of international accounting had to be set up.
- 2. First came bilateral understanding between bordering countries, then international agreement between regional groups of countries, ending in an inter-European association. Extra-European countries were progressively drawn in, and a truly international organization came into being. In 1865 the International Telegraph Union was created in Paris by

the first International Telegraph Convention. The Member countries agreed to a set of basic telegraph service regulations. These were modified later as a result of practical operating experience. At Vienna, in 1868, a permanent international bureau was created and established in Berne.

- 3. The international telephone service came much later and its progress was much slower. It was not until 1927, when radio provided the means to carry the human voice across the ocean from continent to continent, that this service became worldwide; nevertheless, in 1885, in Berlin, the first provisions concerning the international telephone service were drawn up.
- 4. When at the end of the 19th century wireless (radiotelegraphy) became practicable, it was seen at once to be an invaluable complement of telegraphy by wire and cable, since radio alone could provide telecommunication between land and ships at sea. The first International Radiotelegraph Convention was signed in Berlin in 1906 by twenty-nine countries. Nearly two decades later in 1924 and 1925, at Conferences in Paris, the International Telephone Consultative Committee (CCIF) and the International Telegraph Consultative Committee (CCIT) were established. This was followed by the 1927 Radiotelegraph Conference International Washington, D.C. in 1927, which was attended by 80 It was a historical milestone in the countries. development of radio since it was at this Conference that the Table of Frequency Allocations was first devised and the International Radio Consultative Committee (CCIR) was formed.
- 5. In 1932, two Plenipotentiary Conferences were held in Madrid: a Telegraph and Telephone Conference and a Radiotelegraph Conference. On that occasion the two existing Conventions were amalgamated in a single International Telecommunication Convention, and the countries that signed and acceded to it renamed the Union the International Telecommunication Union (ITU) to indicate its broader scope. Four sets of Regulations were annexed to the Convention: Telegraph, Telephone, Radio, and the Additional Radio Regulations.
- 6. A Plenipotentiary Conference met in Atlantic City in 1947 to revise the Madrid Convention. It introduced important changes in the organization of

- the Union. The International Frequency Registration Board (IFRB) and the Administrative Council were created. Also, the ITU became the specialized agency within the United Nations in the sphere of telecommunications, and its headquarters was transferred from Berne to Geneva.
- 7. The Union remained essentially unchanged until 1992, when an Additional Plenipotentiary Conference in Geneva extensively restructured the ITU. The Nice Constitution and Convention of 1989, which had not been ratified, was used as the general model for the 1992 Conference. The CCIR, IFRB and World Administrative Radio Conference (WARC) incorporated functions were into Radiocommunication Sector (ITU-R); the CCITT and Telecommunication Conference functions were incorporated into the Telecommunication Standardization Sector (ITU-T); development activities were incorporated into Telecommunication Development Sector (ITU-D); and the Secretariats were combined into one General Secretariat.

# 3.2.2 Purposes of the Union

- 1. The purposes of the Union are to promote the development and efficient operation telecommunication facilities, in order to improve the efficiency of telecommunication services, their usefulness, and their general availability to the public; promote and offer technical assistance to developing countries in the field of telecommunications, and to promote the mobilization of the human and financial resources needed to develop telecommunications, and to promote the extension of the benefits of new telecommunications technologies everywhere; promote, at the international level, the adoption of a broader approach to the issues of telecommunications in the global information economy and society.
- 2. While the principal facilities of the ITU are in Geneva adjacent to the grounds of the United Nations, the Union also has a number of regional and sub-regional offices.

### 3.2.3 Structure of the Union

1. The ITU Constitution states that the Union shall

comprise:

- a) the Plenipotentiary Conference, which is the supreme authority of the Union;
- b) the Council, which acts on behalf of the Plenipotentiary Conference;
- c) world conferences on international telecommunications;
- d) the Radiocommunication Sector, including world and regional radiocommunication conferences, radiocommunication assemblies and the Radio Regulations Board;
- e) the Telecommunication Standardization Sector, including world telecommunication standardization conferences;
- f) the Telecommunication Development Sector, including world and regional telecommunication development conferences;
  - g) the General Secretariat.

# 3.2.4 ITU Membership

Any administration (country) that accedes to the ITU Convention can become a Member of the Union. Member Countries have voting privileges in all ITU Organs. In addition to administrations, international and regional organizations with an interest in telecommunications, public and private operators, broadcasters, and scientific and industrial companies can become Sector "small-m" members of the Union. Sector members participate in most Union activities on an advisory basis but do not have voting rights. There are approximately 400 Sector Members.

# 3.2.5 Plenipotentiary Conference

The Plenipotentiary Conference is convened every four years. This conference adopts the fundamental policies of the organization and decides on the organization and activities of the Union in a treaty known as the International Telecommunication Constitution and Convention. These conferences focus on long-term policy issues. They take decisions on draft Strategic Plans submitted by the Council outlining the objectives, work, programs and expected outcome for each constituent of the Union until the following Conference. It elects members of the Council, the Secretary-General and Deputy Secretary-

General, the Bureau Directors in the three Bureau Sectors of the ITU, and the members of the Radio Regulations board.

### 3.2.6 The Council

The Council of the ITU is composed of 46 Members of the Union elected by the Plenipotentiary Conference, with due regard to the need for equitable distribution of the seats on the Council among all five regions of the world. The role of the Council is to consider, in the interval between two Plenipotentiary Conferences, broad telecommunication policy issues in order to ensure that the Union's policies and strategy fully respond to the constantly changing telecommunication environment. The Council is responsible for ensuring the efficient coordination of the work of the Union and for exercising an effective financial control over the General Secretariat and the three Sectors. The Council takes all steps to facilitate the implementation by Members of the provision of the Constitution, the Convention, the Administrative Regulations of the Plenipotentiary conferences and, where appropriate, of the decisions of other conferences and meetings of the Union.

### 3.2.7 General Secretariat

1. The work of the General-Secretariat covers the publication and distribution of information on telecommunication matters; the organization and provision of logistic support to the Union's conferences; the coordination of the work of the Union with the United Nations and other international organizations; public relations; relations with Members, industry and users; organization of the World and Regional TELECOM Exhibitions and Forums; actions connected with the dissemination of information to the press, corporate and individual users of telecommunications, academic circles and the general public and the electronic information exchange and access to ITU documents, publications and databases.

# 3.2.8 World Conferences on International Telecommunications

World Conferences on International

Telecommunications are empowered to revise Telecommunications Regulations. They establish the general principles which relate to the provision and operation of international telecommunications services offered to the public as well as the underlying international telecommunication transport means used to provide such services. They also set the rules applicable to administrations and operators in respect of international telecommunications. conferences are open to all ITU Member Administrations and to the United Nations and its specialized agencies, regional telecommunication intergovernmental organizations, organizations operating satellite systems and the International Atomic Energy Agency. The following conferences Radiocommunication are Conferences. Telecommunications Standardization Conferences and Development Conferences.

### 3.2.9 Radiocommunication Sector

#### 1. General

The Radiocommunication Sector (ITU-R) ensures the rational, equitable, efficient and economical use of the radio-frequency spectrum by all radiocommunication services, including those using the geostationary-satellite orbit and carry out studies without limit of frequency range on the basis of which recommendations are adopted. Subjects covered include: spectrum utilization and monitoring; interservice sharing and compatibility; science services; radio wave propagation; the fixed satellite, fixed, and mobile services and sound and television The Radiocommunication Sector broadcasting. operates through World and Regional Radio Conferences and Radiocommunication Assemblies supported by study groups (legislative functions), an Advisory Group (strategic advice) and a Bureau headed by a Director (administrative functions).

### 2. Radiocommunication Conferences

World Radio Communication Conferences are held every two years along with a Radiocommunications Assembly. The main function of these conferences is to review and revise, as necessary, the Radio Regulations on the basis of an agenda adopted by the ITU Council following consultation of the membership. The Radio Regulations can be revised partially, or exceptionally, completely. The general scope of each conference's agenda is established four years in advance and the final agenda is established by the ITU Council, preferably two years before the conference, with the concurrence of a majority of the Members of the Union. These conferences also recommend to the Council items for inclusion in the agenda of a future conference and give its views on forthcoming agendas for a least a four-year cycle of conferences.

### 3. Radiocommunication Assemblies

Radiocommunications Assemblies provide the technical basis for the work of World Radiocommunication Conferences (WRC), approve the program of work of radiocommunication study groups and decide on the priority, urgency and timescale for the completion of their study. They also approve, modify or reject the draft recommendations contained in the reports of study groups which have not been the object of approval under the accelerated procedure, decide which study groups to maintain, set up or abolish and allocate the questions to be studied in the next study period. The accelerated procedure provides for the adoption of recommendations in the interval between Assemblies through a vote by correspondence of ITU Member Administrations.

# 4. Conference Preparatory Meetings (CPMs)

Conference Preparatory Meetings (CPMs) prepare a consolidated report on the technical, operational and regulatory/procedural bases for a World Radio Conference (WRC). Regulatory studies of a technical or operational nature are undertaken by the appropriate Study Groups. Regulatory/procedural matters are addressed in a Special Committee. The CPM updates and rationalizes the material from the Study Groups and Special Committee, together with any new material submitted to it.

# 5. Radiocommunication Study Groups

a. Radiocommunication study groups are groups of experts in which administrations and

public/private sector entities participate. They study technical questions relating to radiocommunication issues and adopt recommendations. The focus of study is on the use of the radio-frequency spectrum in terrestrial and space radiocommunications (including the geostationary-satellite orbit), the characteristics and performance of radio systems, the operation of radio stations and the radiocommunication aspects of distress and safety matters.

b. More than 1,500 specialists, from telecommunication organizations and administrations throughout the world, participate in the work of the Radiocommunication Study Groups. The Study technical Groups draft the bases for Radiocommunication Conferences and compile handbooks on spectrum management and emerging radio communication services and systems. Additionally, develop draft ITU-R thev Recommendations on the technical characteristics of, and operational procedures for, radiocommunication services and systems. These recommendations may be approved either by correspondence or by the next Radiocommunication Assembly. At present, there are 8 Study Groups (Sgs), comprising 10 Task Groups and 32 Working Parties, addressing the following topics:

- SG 1- Spectrum management;
- SG 3- Radio wave propagation;
- SG 4- Fixed-satellite service:
- SG 7- Science services;
- SG 8- Mobile, radiodetermination, amateur and related satellite services;
- SG 9- Fixed service:
- SG 10- Broadcasting service (sound); and
- SG 11- Broadcasting service (television).

# 6. Radiocommunication Bureau (BR)

- a. The BR is headed by a Director who organizes and coordinates the work of the Radiocommunications study groups. The Bureau:
- 1) coordinates the preparatory work of the study groups and the Bureau
- 2) prepares and submits draft Rules of Procedure of approval by the Radio Regulations Board, including calculation methods and data required for the application of the provisions of the

Radio Regulations

- 3) processes information received from administrations in application of the Radio Regulations and regional agreements
- 4) applies the Rules of Procedure approved by the Board, prepares and publishes findings based on those Rules, and submits to the Board any review of a finding which is requested by an administration and which cannot be resolved by the use of those Rules of Procedure.
- 5) in accordance with the Radio Regulations, effects an orderly recording and registration of frequency assignments and, where appropriate, the associated orbital characteristics, and keeps up to date the Master International Frequency Register, it reviews entries in that Register with a view to amending or eliminating those which do not reflect actual frequency usage, in agreement with the administrations concerned.
- 6) assists in the resolution of cases of harmful interference, at the request of one or more of the interested administrations, and where necessary, makes investigations and prepares, for consideration by the Board, a report including draft recommendations.
- 7) carries out studies to furnish advice to members with a view to the operation of the maximum practicable number of radio channels in those portions of the spectrum where harmful interference may occur, and with a view to the equitable, effective and economical use of the geostationary-satellite orbit, taking into account the needs of members requiring assistance, the specific needs of developing countries, as well as the special geographical situation of particular countries.
- 8) provides technical support, as necessary, to the Telecommunication Development Sector.

# 7. Radiocommunication Advisory Group

- a. The Radiocommunication Assembly set up the Radiocommunication Advisory Group (RAG). The RAG:
- 1) reviews the priorities and strategies adopted in the Sector.
- 2) monitors progress of the work of the Study Groups.
  - 3) provides guidance for the work of the

Study Groups.

- 4) recommends measures for fostering cooperation and coordination with other organizations and with the other ITU Sectors.
- 5) provides advice on these matters to the Director of the Radiocommunication Bureau.

# 8. Radio Regulations Board

- a. The Radio Regulations Board (RRB) consists of nine members elected by the Plenipotentiary Conference. They perform their duties on a part-time basis. The functions of the RRB are:
- 1) to approve the Rules of Procedure used by the BR in registering frequency assignments and applying the RRs;
- 2) to address matters referred by the BR which cannot be solved by the RRs or the Rules;
- 3) to review reports of interference investigations by the BR and to formulate recommendations for their resolution, and
- 4) to perform any duties related to the assignment and utilization of frequencies and to the equitable utilization of the geostationary-satellite orbit.

# 3.2.10 Telecommunication Standardization Sector

- 1. The Telecommunication Standardization Sector (ITU-T) studies technical, operating and tariff questions and issue recommendations with a view to standardizing telecommunications on a worldwide basis, including recommendations on interconnection of radio systems in public telecommunication networks and on the performance required for these interconnections. Activities cover: telecommunication services and network operation; telecommunication tariffs and accounting principles; maintenance; protection of outside plant; data communication; terminal for telematic services; switching, signaling man-machine language; transmission performance, systems and equipment; and ISDN.
- 2. The basic structure of the ITU-T is similar to the Radiocommunication Sector. The major groups and activities of the ITU-T Sector include:
  - a) World Telecommunication

Standardization Conferences which are supported by study groups (legislative) and convened every four years;

- b) A Standardization Bureau headed by a Director (administrative); and
- c) An Advisory Group on Standardization (strategic advice).
- 3. Telecommunications Standardization Study Groups are groups of experts in which administrations and public/private sector entities participate. Their focus of work is on standardization of telecommunication services, operation, performance and maintenance of equipment, systems networks and services, tariffs principles and accounting methods.

# 3.2.11 Telecommunication Development Sector

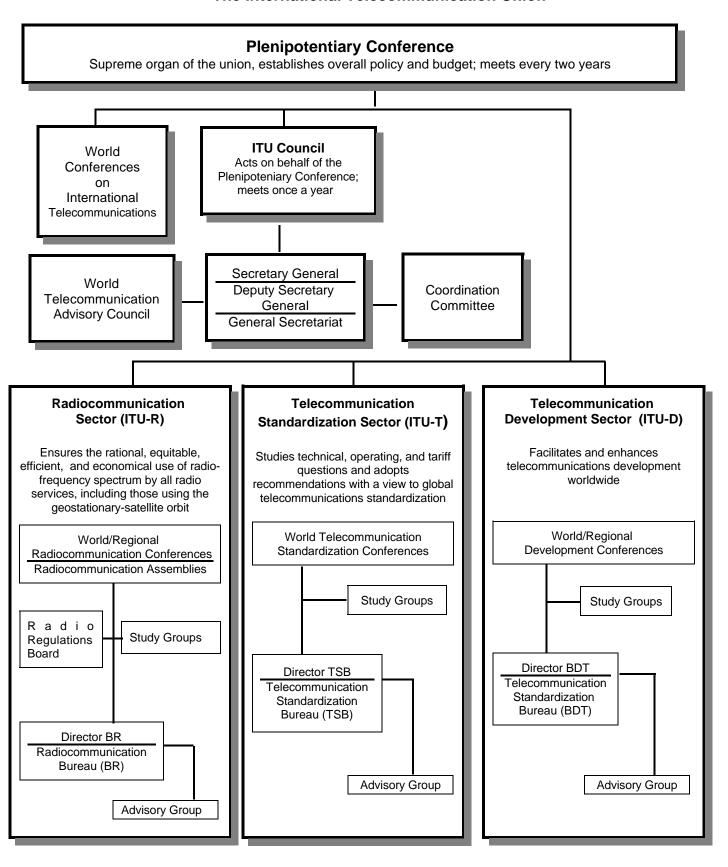
- 1. The basic structure of the ITU-D is also similar to the Radiocommunication Sector. The specialized secretariat of the Telecommunication Development Sector (ITU-D) is the Telecommunication Development Bureau which is headed by an elected Director. The objectives of the ITU-D are to:
- a) raise the level of awareness of decisionmakers concerning the important role of telecommunications in the national economic and social development program, and provide information and advice on possible policy and structural options;
- b) promote the development, expansion and operation of telecommunication networks and services particularly in developing countries;
- c) enhance the growth of telecommunications through cooperation with regional telecommunications organizations and with global and regional development financing institutions;
- d) activate the mobilization of resources to provide assistance in the field of telecommunications to developing countries by promoting the establishment of preferential and favorable lines of credit, and cooperating with international and regional financial and development institutions;
- e) promote and coordinate programs toaccelerate the transfer of appropriate technologies to the developing countries in the light of changes and developments in the networks of the developed countries;
  - f) encourage participation by industry in

telecommunication development in developing countries, and offer advice on the choice and transfer of appropriate technology; and

g) offer advice, carry out or sponsor studies, as necessary, on technical, economic, financial, managerial, regulatory and policy issues, including studies of specific projects in the field of telecommunications.

# 3.2.12 Organization Chart of the ITU

# The International Telecommunication Union



# 3.3 SUBMISSION OF INFORMATION TO THE ITU

# 3.3.1 Notification of Frequency Assignments

Frequencies assigned to Government radio stations shall be notified to the International Frequency Registration Board, Geneva, Switzerland, as specified in the "Manual of Instructions for Notifying U.S. Radio Frequency Assignment Data to the IFRB, Geneva, Switzerland."

# 3.3.2 Provision of Information Regarding Satellite Networks in Planned Satellite Systems

In order to ensure compliance with the provisions of Section I, Articles 8, 11, 13 and 14 of the ITU Radio Regulations, and, as appropriate, Article XIV(e), Agreement Relating to the International Telecommunications Satellite Organization (INTELSAT), any Government agency intending to establish a satellite system shall provide to the Space Systems Group (SSG) of the Spectrum Planning Subcommittee (SPS) the details contained in Appendices 3 and 4 to the ITU Radio Regulations for each satellite network within the planned satellite system, including changes in the technical characteristics and the employment and deployment of stations contained therein.

The information in Appendices 3 and 4 of the ITU Radio Regulations shall be furnished to the SPS in accordance with the instructions appearing in Chapter 10 of this Manual.

The information in Appendix 4 shall be furnished to the SSG in accordance with the instructions in the current *Manual of Instructions for Notifying US Radio Frequency Assignment Data to The International Frequency Registration Board.* The Appendix 4 data shall be provided to the SSG at the same time as the request for Stage 2 Systems Review under Chapter 10 of this Manual and shall not normally be transmitted to the IFRB for advance publication until Stage 2 certification of Spectrum Support has been granted or earlier if sufficient information is available.

The information in Appendix 3 shall be furnished to the SSG in accordance with the instructions in the current *Manual of Instructions for Notifying US*  Radio Frequency Assignments Data to The International Frequency Registration Board. The Appendix 3 data shall be provided at the same time as the Stage 3 Systems Review approval request under Chapter 10 of this Manual. After Stage 3 approval, the required coordination will be initiated. Notification of frequency assignments to the IFRB will be made after Stage 4 approval has been granted and any required coordination has been accomplished. Operational frequency assignments will not normally be granted until notification has been initiated.

Before Stage 2, 3, or 4 support is granted the SSG must indicate that the appropriate Appendix 3 or 4 data have been submitted and reviewed.

The SSG of the SPS will review the information and:

- (a) Notify the SPS that the required data are on file.
- (b) Request the Chairman of ING to submit the appropriate data to the IFRB or to other administrations under the provisions of Articles 8, 11, 13 and 14 of the ITU Radio Regulations.
- (c) Provide findings to IRAC with respect to the provisions of Article XIV(e) of the INTELSAT Agreement.

It is recognized that the submission of information to the IFRB concerning earth stations located outside the jurisdiction of the United States may be the responsibility of the country on whose territory the earth station is located.

As a matter of policy, advance publication information and notices of frequency assignments relating to space systems shall be submitted to the IFRB. Exceptions to this policy will be made only by the NTIA on a case-by-case basis.

# 3.4 UNITED STATES-CANADA COORDINA-TION AGREEMENT

### 3.4.1 General

The United States-Canada Agreement relating to the Coordination and Use of Radio Frequencies above 30 MHz contains a Technical Annex which is composed of an Index and five coordination Arrangements lettered A, B, C, D, and E, respectively.

The Index to the Technical Annex indicates for each of the five Arrangements the frequency bands

involved and the authorized coordination agencies or channels in each country for each band.

The Interdepartment Radio Advisory Committee, National Telecommunication and Information Administration (NTIA), and the Canadian Department of Communications are the authorized coordination agencies for the bands shown in the following tabulation:

Band (MHz)	Arrangement	Type Assignments Involved
32.0-33.0	D	Experimental and military tactical & training excluded
34.0-35.0	D	Same as above
36.0-37.0	D	Same as above
38.0-39.0	D	Same as above
40.0-42.0	D	Same as above
46.6-47.0	*ITU RR 228	Ionospheric scatter only
49.6-50.0	*ITU RR 228	Same as above
138.0-144.0	D	Experimental and military radar and tactical & training excluded
148.0-149.9	D	Same as above
150.05-150.8	D	Same as above
162.0-174.0	D	Experimental and military tactical & training excluded
406.1-430.0	Е	Same as above
1540.0-1660.0	В	Space techniques only
1710.0-1850.0	D	Experimental and military tactical & training excluded
2110.0-2120.0	D	Experimental excluded
2200.0-2290.0	D	Experimental and military tactical & training excluded
2900.0-3100.0	С	Non-military radar only
4200.0-4400.0	В	Space techniques only
4400.0-4990.0	D	Experimental and military tactical & training excluded
5000.0-5250.0	В	Space techniques only
5460.0-5650.0	C	Non-military radar only
7125.0-7250.0	D	Experimental and military tactical & training excluded
7250.0-7750.0	D	Experimental excluded
7750.0-7900.0	D	Experimental and military tactical & training excluded
7900.0-8400.0	D	Experimental excluded
9300.0-9500.0	С	Non-military radar only
15400.0-15700.0	В	Space techniques only

<sup>\*</sup> Pre-1979 WARC.

The Index to the Technical Annex, No. 228\* of the ITU Radio Regulations, Arrangements C and D,

and the pertinent portion of Arrangement B are reproduced below.

# 3.4.2 Index to the Technical Annex

		Authoria	zed Coordi-	1
			Agencies or	
Item	Frequency		annels	Coordination Arrangements
Item	Frequency	U.S.	Canada	Remarks
1	30.56-32.0	FCC	DOC	Arrangement A
2	32.0-33.0	IRAC	DOC	Arrangement D
3	33.0-34.0	FCC	DOC	Arrangement A
4	34.0-35.0	IRAC	DOC	Arrangement D
5	35.0-36.0	FCC	DOC	Arrangement A
6	36.0-37.0	IRAC	DOC	Arrangement D
7	37.0-38.0	FCC	DOC	Arrangement A
8	38.0-39.0	IRAC	DOC	Arrangement D
9	39.0-40.0	FCC	DOC	Arrangement A
10	40.0-42.0	IRAC	DOC	Arrangement D
11	42.0-46.6	FCC	DOC	Arrangement A
12	46.6-47.0	IRAC	DOC	**ITU RR 228
13	47.0-49.6	FCC	DOC	Arrangement A
14	49.6-50.0	IRAC	DOC	**ITU RR 228
15	72.0-73.0	FCC	DOC	Arrangement A
16	74.6-75.4	FAA	DOC	Arrangement B
17	75.4-76.0	FCC	DOC	Arrangement A
18	108.0-117.975	FAA	DOC	Arrangement B
19	117.975-121.975	FAA	DOC	Arrangement B
20	121.975-123.075	FCC	DOC	Arrangement B
21	123.075-123.575	FCC	DOC	Arrangement B
22	123.575-128.825	FAA	DOC	Arrangement B
23	128.825-132.025	FCC	DOC	Arrangement B
24	132.025-136.0	FAA	DOC	Arrangement B
*25	138.0-144.0	JCS	¹CDS	Arrangement C
26	148.0-149.9	IRAC	DOC	Arrangement D
27	148.0-149.9	JCS	¹CDS	Arrangement C
28	150.05-150.8	IRAC	DOC	Arrangement D
29	150.05-150.8	JCS	¹CDS	Arrangement C
30	150.8-174.0	FCC	DOC	Arrangement A
31	162.0-174	IRAC	DOC	Arrangement D
32	216.0-225.0	JCS	¹CDS	Arrangement C
33	328.6-335.4	FAA	DOC	Arrangement B
34	406.1-430.0	NTIA	DOC	Arrangement E
35	420.0-450.0	JCS	¹CDS	Arrangement C
36	450.0-470.0	FCC	DOC	Arrangement A
37	890.0-942.0	JCS	¹CDS	Arrangement C
38	942.0-960.0	FCC	DOC	Arrangement A
39	960.0-1215.0	FAA	DOC	Arrangement B
40	1215.0-1400.0	JCS	¹CDS	Arrangement C
41	1300.0-1350.0	FAA	DOC	Arrangement C
42	1535.0-1540.0			Coordination not required at this time

	Authorized Coor- dination Agencies			
Τ.	T.	or Cl	nannels	Coordination
Item	Frequency	U.S.	Canada	Arrangements Remarks
43	1540.0-1660.0	IRAC	DOC	Arrangement B
44	1710.0-1850.0	IRAC	DOC	Arrangement D
45	1850.0-2200.0	FCC	DOC	Arrangement A
46	2110.0-2120.0	IRAC	DOC	Arrangement D
47	2200.0-2290.0	IRAC	DOC	Arrangement D
48	2300.0-2450.0	JCS	¹CDS	Arrangement C
49	2450.0-2690.0	FCC	DOC	Arrangement A
50	2700.0-2900.0	FAA	DOC	Arrangement C
51	2700-3700.0	JCS	¹CDS	Arrangement C
52	2900-3100.0	IRAC	DOC	Arrangement C
53	3700.0-4200.0	FCC	DOC	Arrangement A
54	4200.0-4400.0	IRAC	DOC	Arrangement B
55	4400.0-4990.0	IRAC	DOC	Arrangement D
56	5000.0-5250.0	IRAC	DOC	Arrangement B
57	5250.0-5925.0	JCS	¹CDS	Arrangement C
58	5460.0-5650.0	IRAC	DOC	Arrangement C
59	5925.0-7125.0	FCC	DOC	Arrangement A
60	7125.0-8400.0	IRAC	DOC	Arrangement D
61	8400.0-8500.0			Coordination not required at this time
62	8500.0-10500.0	JCS	¹CDS	Arrangement C
63	9000.0-9200.0	FAA	DOC	Arrangement C
64	9300.0-9500.0	IRAC	DOC	Arrangement C
65	10.55-10.68 GHz	FCC	DOC	Arrangement A
66	10.70-13.25 GHz	FCC	DOC	Arrangement A
67	13.25-13.4 GHz			Coordination not required at this time
68	13.4-14.0 GHz	JCS	¹CDS	Arrangement C
69	14.0-15.4 GHz			Coordination not required at this time
70	15.4-15.7 GHz	IRAC	DOC	Arrangement B
71	15.7-17.7 GHz	JCS	¹CDS	Arrangement C
72	17.7-23.0 GHz			Coordination not required at this time
73	23.0-24.25 GHz	JCS	¹CDS	Arrangement C
74	24.25-33.4 GHz			Coordination not required at this time
75	33.4-36.0 GHz	JCS	¹CDS	Arrangement C
76	36.0 GHz and above			Coordination not required at this time

<sup>\*</sup>Canada and U.S. have agreed to coordinate Fixed and Mobile (excluding Tactical and Training) Assignments in the band 138-144 MHz in accordance with the Arrangement D procedures (Ref. IRAC Doc. 20638/1-3.7.2.1).

<sup>1</sup>CDS - Chief of Defense Staff - Authorized Coordination Channel

only.

# 3.4.3 No. 228 of the ITU Radio Regulations\*\*

Stations designed to use ionospheric scatter may operate only subject to agreements between administrations concerned and those whose services, operating in accordance with the Table, may be affected.

# 3.4.4 Text of Arrangement B

Arrangement For the Exchange of Frequency Assignment Information and Engineering Comments on Proposed Assignments Along the Canada/United States Borders in Certain Aviation Bands

(Adopted Ottawa, March 1962; Revised Washington, D.C., October 1964)

- (1) This arrangement involves assignments in the frequency bands set forth in paragraph 8 hereof.
- (2) In the interest of the planned use of the spectrum, information concerning future expansions and adjustments of the services allocated these bands, in the coordination zones stipulated in the Appendices attached hereto, shall be exchanged to the maximum extent practicable.
- (3) The Agency proposing to establish a new station, or to modify the basic characteristics of an existing station, shall furnish to the appropriate Agency the technical data necessary to complete coordination, in accordance with the attached Appendices.
- (4) The Agency responsible for coordination shall examine the information provided and shall reply as soon as practicable advising whether or not a conflict is anticipated. If so, the detail of the conflict and the particulars of the station likely to experience interference shall be supplied. New proposals or discussions may be initiated with the object of resolving the problem.
- (5) In the interest of planned use of the frequency bands allocated for use of space techniques in the Aeronautical Mobile (R) and Aeronautical Radionavigation Services, information concerning assignments to stations using space techniques in these bands shall be exchanged to the maximum extent practicable. This will involve assignments for a)

<sup>\*\*</sup> Pre-1979 WARC.

all spacecraft and b) transmitting stations and receiving stations which use space techniques.

- (6) Whenever differences of opinion concerning the probability of harmful interference exist, which cannot be resolved otherwise, or in cases where the information available makes it difficult to determine whether harmful interference would be created by the proposed operation, mutual arrangement should be made for actual on-the-air tests to be observed by representatives of the U.S. agencies concerned and the Department of Communications. Should harmful interference be caused to the existing station, the Agency having jurisdiction over the proposed operation should be notified promptly so that the transmissions of the interfering station may be halted.
- (7) Neither the U.S. agencies concerned nor the Department of Communications shall be bound to act in accordance with the views of the other. However, to keep such instances to a minimum, each Agency should cooperate to the fullest extent practicable with the other by furnishing such additional data as may be required.
- (8) The bands treated and the agreed action on each are as follows:

	Authorized Coordi- nation Agency		
Frequency Band MHz	U.S.	Canada	Remarks
74.60-75.40	FAA	DOC	Coordination not required at this time
108.0-117.975	FAA	DOC	SEE APPENDIX 1
117.975-121.975	FAA	DOC	SEE APPENDIX 2
121.975-123.075	FCC	DOC	Coordination not required at this time
123.075-123.575	FCC	DOC	Coordination not required at this time
123.575-128.825	FAA	DOC	SEE APPENDIX 2
128.825-132.025	FCC	DOC	SEE APPENDIX 3
132.025-135.0	FAA	DOC	SEE APPENDIX 2
135.0-136.0	FAA	DOC	SEE APPENDIX 4
328.6-335.4	FAA	DOC	SEE APPENDIX 1
960.0-1215.0	FAA	DOC	SEE APPENDIX 1
1540-1660	IRAC	DOC	Coordination not required at this time except for applications involving the use of space techniques

4200-4400	IRAC	DOC	Coordination not required at this time except for applications involving the use of space techniques
5000-5250	IRAC	DOC	Coordination not re- quired at this time except for applications involving the use of space techniques
1540-1660	IRAC	DOC	Coordination not required at this time except for applications involving the use of space techniques

NOTE: "Coordination not required at this time" in the Remarks column indicates that the present use of these frequencies does not cause conflict in their application, either in the United States or Canada. However, authorized agencies are designated to coordinate any future use which may be capable of causing harmful interference. (Appendices 1 through 4 of Arrangement B are not reproduced in this Manual.)

# 3.4.5 Text of Arrangement C

Arrangement for Frequency Coordination of Fixed Installation Radars

(Adopted Ottawa, March 1962, and revised Washington, D.C., October 1964)

# It is agreed that:

- (1) Coordination shall be effected in those frequency bands used by fixed installation radars, some of which are essential to the defense of North America, whenever there is considered to be a likelihood of harmful interference. For this purpose information will be exchanged through the authorized coordination agencies, as follows:
- (a) All relevant existing assignments as of the effective date of this arrangement, as soon as practicable.
- (b) Current editions of the information in (a), as requested.
- (c) Proposed or planned assignments as far in advance as practicable.
- (2) The authorized agencies responsible for taking action on the coordinations are specified in the Index to the Technical Annex. In the case of U.S. military coordinations, the coordination data will be transmitted via the established coordination channel. The Canadian military will coordinate as necessary with the DOC who will be responsible for the technical examination and completion of Canadian coordination in conjunction with cognizant Canadian

military agencies. In the case of Canadian originated military coordinations, after internal coordination with the DOC, the data will be passed to the U.S. via the established coordination channel. Nonmilitary coordinations, after complete internal coordination, will be transmitted direct between the authorized non-military coordination agencies shown in the Index for each particular band.

- (3) Detailed characteristics of transmitting and receiving equipment, for both radar and any relevant non-radar equipment, will be exchanged in advance of the coordination referred to above. The minimum desirable information is as follows:
  - (a) Frequency band or operating frequencies
  - (b) Location name and geographical coordinates
- (c) Site elevation above mean sea level and antenna height above ground
  - (d) Class of emission and necessary bandwidth
  - (e) Power (peak) delivered to the antenna
  - (f) Function
  - (g) Antenna gain and orientation
- (4) Until the bands covered by this arrangement have been cleared of potential conflicts, at installations where there is a possibility of harmful interference, evaluation testing of radar installations will be carried out at the time of activation and maximum cooperation will be extended in obtaining the best engineering solution to any harmful interference problems. It is recognized that special problems exist in bands presently in use for nonradar purposes. These problems require continuous further study as regards both the procedures and the necessity of allocation adjustments so as to accommodate radars essential to the defense of North America.
- (5) Radar assignments in use on the effective date of this arrangement are not subject to further coordination by virtue of this arrangement.
- (6) Mobile radar assignments are not subject to this arrangement.

NOTE: For the purpose of complying with the provisions of paragraph 1 of Arrangement C, the IRAC shall coordinate all proposed non-military assignments to stations, other than mobile stations, with a power of one kilowatt peak or over, which are located within the coordination zone specified in paragraph 2(a) of Arrangement D.)

### 3.4.6 Text of Arrangement D

Arrangement Between the Department of Commu-

nications and the Interdepartment Radio Advisory Committee For the Exchange of Frequency Assignment Information and Engineering Comments on Proposed Assignments Along the Canada-United States Borders in Certain Frequency Bands Above 30 MHz.

(Adopted Washington, D.C., June 1956; Revised Ottawa, March 1962 and Washington, D.C., October 1964).

(1) This arrangement provides for the exchange of frequency assignment information and engineering comments on proposed assignments in the following frequency bands:

# (a) MHz

32.00-33.00 34.00-35.00 36.00-37.00 38.00-39.00 40.00-42.00 148.00-149.90 150.05-150.80 162.00-174.00 1710.00-1850.00 2200.00-2290.00 4400.00-4990.00 7125.00-7250.00 7750.00-7900.00

(b) MHz

2110.00-2120.00 7250.00-7750.00 7900.00-8400.00

(2)(a) For the bands below 1000 MHz, the areas involved are those bounded by:

Line A-Begins at Aberdeen, Wash. running by great circle arc to the intersection of 48° N., 120° W., thence along parallel 48° N., to the intersection of 95° W., thence by great circle arc through the southernmost point of Duluth, Minn., thence by great circle arc to 45° N., 85° W., thence southward along meridian 85° W., to its intersection with parallel 41° N., thence along parallel 41° N., to its intersection with meridian 82° W., thence by great circle arc through the southernmost point of Bangor, ME, thence by great circle arc through the southernmost point of Searsport, ME, at which point it terminates; and

Line B-Begins at Tofino, B.C., running by great

circle arc to the intersection of 50° N., 125° W., thence along parallel 50° N., to the intersection of 90° W., thence by great circle arc to the intersection of 45° N., 79° 30′ W., thence by great circle arc through the northernmost point of Drummondville, Quebec (Lat: 45° 52′ N., Long: 72°30′ W.), thence by great circle arc to 48° 30′ N., 70° W., thence by great circle arc through the northernmost point of Campbellton, N.B., thence by great circle arc through the northernmost point of Liverpool, N.S., at which point it terminates.

*Line C*-Begins at the intersection of 70° N., 144° W., thence by great circle arc to the intersection of 60° N., 143° W., thence by great circle arc so as to include all of the Alaskan Panhandle; and

Line D-Begins at the intersection of 70° N., 138° W., thence by great circle arc to the intersection of 61° 20° N., 139° W. (Burwash Landing), thence by great circle arc to the intersection of 60° 45° N., 135° W., thence by great circle arc to the intersection of 56° N., 128° W., thence south along 128° meridian to Lat. 55° N., thence by great circle arc to the intersection of 54° N., 130° W., thence by great circle arc to Port Clements, thence to the Pacific Ocean where it ends.

- (b) For any station of a terrestrial service using a band above 1000 MHz, the areas involved are as follows:
- (1) For a station the antenna of which looks within the 200° sector toward the Canada-United States borders, that area in each country within 35 miles of the borders;
- (2) For a station the antenna of which looks within the 160° sector away from the Canada -United States borders, that area in each country within 5 miles of the borders; and,
- (3) The area in either country within the coordination distance (paragraph 8) of a receiving earth station in the other country which uses the same band.
- (c) For the bands above 1000 MHz, coordination of an earth station is required if any portion of the Canada-United States borders lies within the coordination distance (paragraph 8) of the earth station.
- (3) Current records of frequency assignments in the frequency bands listed in paragraph 1 will be exchanged as required.

- (4) (a) Before either Agency takes final action on any proposal for the use of any frequency, other than for military tactical and training operations in the bands listed in paragraph (1)(a), in the areas stipulated in paragraph (2):
- (1) in the bands below 1000 MHz, listed in paragraph (1) involving power in excess of five (5) watts; or,
- (2) in the bands above 1000 MHz, listed in paragraph (1);
- it will refer the pertinent particulars of the proposed assignment (see Appendix 1, 2 or 3, as appropriate) to the other Agency for comment on whether the granting of an authorization will be liable to result in the causing of harmful interference to any existing radio operations of the Agency whose views are sought, or, in the case of a receiving earth station, whether harmful interference would be caused to reception at the earth station by any existing radio operations of the Agency whose views are sought.
- (b) If adverse comment is not received within 30 calendar days from the date of the receipt of the proposal, the initiating Agency may go ahead with the operation after having notified the other Agency. In an emergency, coordination may be effected after the assignment is put into operation.
- (c) Neither the Interdepartment Radio Advisory Committee nor the Department of Communications shall be bound to act in accordance with the views of the other. However, to keep such instances to a minimum, each Agency should cooperate to the fullest extent practicable with the other by furnishing such additional data as may be required.
- (5) In cases where the information available makes it difficult to determine whether harmful interference would be created by the granting of a particular authorization, arrangements may be made for actual on-the-air tests to be observed by representatives of each Agency and further exchanges of engineering comments following such tests.
- (6) In the interest of planned use of the spectrum, information about future expansions and adjustments of the services allocated the use of the bands listed in paragraph (1), in the areas stipulated herein, may be exchanged to the maximum extent practicable.
- (7) Where a previously coordinated frequency assignment is in use and an additional assignment is proposed for the same frequency in the same area, the

additional assignment must also be coordinated, attention being drawn to the previous coordination. This does not apply to the addition of mobile units to a previously coordinated land mobile system.

(8) Coordination distance shall be the distance, calculated for any station, according to \*ITU Recommendation Spa 1.

\* Pre-1979 WARC, presently using Appendix 28.

### APPENDIX 1 TO ARRANGEMENT D

# Basic Data Required for the Coordination of Terrestrial Stations in the Bands Below 1000 MHz

- (a) Class of station
- (b) Number of stations (including, when available, number of mobile stations)
  - (c) Location and coordinates
  - (d) Frequency
  - (e) Power (mean) delivered to the antenna
  - (f) Class of emission and necessary bandwidth
  - (g) Antenna gain (dB) and azimuth, when available
- (h) Antenna elevation in feet above mean sea level (MSL), when available

### APPENDIX 2 TO ARRANGEMENT D

# Basic Data Required for the Coordination of Terrestrial Stations in the Bands Above 1000 MHz

- (a) Class of station
- (b) Number of stations (including, when available, number of mobile stations)
  - (c) Location and coordinates
  - (d) Frequency
  - (e) Power (mean) delivered to the antenna
  - (f) Class of emission and necessary bandwidth
- (g) Antenna gain (dB), azimuth and, when available, elevation angle
- (h) Antenna elevation in feet above mean sea level (MSL)
  - (i) Polarization of transmitted wave
- (j) Topographic map of territory between stations at fixed locations and the Canada-United States borders (required only for stations within the coordination distance of a previously coordinated re-

ceiving station which uses the same band)

### APPENDIX 3 TO ARRANGEMENT D

# Basic Data Required for the Coordination of Earth Stations in the Space Service

- (a) Class of station
- (b) Frequencies
- (c) Location and coordinates
- (d) Azimuthal and elevation coverage of celestial hemisphere as defined by main axis of antenna
  - (e) Class of emission and necessary bandwidth
- (f) Power (mean) delivered to the antenna and, where applicable, estimated terminal coupling losses
- (g) Maximum gain of antenna in the horizontal plane as a function of azimuth
  - (h) Maximum gain of antenna (referred to isotropic)
- (i) Antenna elevation in feet above mean sea level (MSL)
  - (i) Polarization of transmitted wave
- (k) Topographic map of territory between earth station and Canada-United States borders in the sector wherein the coordination distance exceeds the distance to the border
- (l) Numerical values of terrain shielding in the pertinent directions
- 3.4.7 Arrangement E-Between the Department of Communications of Canada and the National Telecommunications and Information Administration and the Federal Communications Commission of the United States Concerning the Use of the 406.1 MHz to 430 MHz Band in Canada-United States Border Areas.

### 1. General

1.1-This Arrangement between the Department of Communications of Canada and the National Telecommunications and Information Administration and the Federal Communications Commission of the United States, herein referred to as the Agencies, provides for the operation of Canadian Fixed and Mobile Services and United States Fixed and Mobile Services in the 406.1-430 MHz band and United States Radiolocation Service in the 420-430 MHz band. In accordance with the international Table of

Frequency Allocations contained in the Final Acts of the World Administrative Radio Conference (Geneva, 1979), aeronautical mobile radio services are excluded from the band 406.1 to 430 MHz.

- **1.2-**Section 6 of this Arrangement sets forth the conditions for the shared use of the 420-430 MHz band by the Fixed and Mobile Services in Canada (the Mobile Service being primary and Fixed Service being secondary in Canada) and the Radiolocation Service in the United States (the Radiolocation Service being primary in the United States).
- 1.3-The areas involved in this Arrangement concerning sharing by the Canadian and United States Fixed and Mobile Services are those set forth in sub-paragraph 2(a) of Arrangement D of this Agreement; hereafter these areas are referred to in this Arrangement as the Coordination Zone.
- 1.4-For the purpose of coordinating assignments to stations in the Fixed and Mobile Services in the 406.1-430 MHz band with 25 kHz spacing between channels and 16 kHz necessary bandwidth, a minimum interstitial channel (12.5 kHz offset) selectivity of 25 dB will be assumed. The standard definition and method of measurement is defined in the United States Electronic Industries Association (EIA) specification RS-204B, titled "Adjacent Channel Selectivity and Desensitization", dated April 1980.
- 1.5-The coordination channel for this Arrangement is the Department of Communications in Canada and the National Telecommunications and Information Administration in the United States, in accordance with the procedures of Arrangement D of this Agreement.

# 2. Exceptions

- **2.1-**It is recognized that in the band 406.1-420 MHz there are limited requirements for airborne operations. When the possibility exists that assignments outside of the normal Coordination Zone might result in harmful interference to the radio services of the other country due to their particular circumstances, i.e., aircraft altitude, power, etc., the assignment of the frequencies involved will, to the extent practicable, be subject to special coordination between the National Telecommunications and Information Administration and the Department of Communications.
  - **2.2-**The Amateur Service is excluded from the band

- 420-430 MHz in the Coordination Zone. Additionally, airborne operations associated with stations in the Fixed and Mobile Services are excluded from this band.
- **2.3-**Stations in the Fixed and Mobile Services will not operate in the 420-430 MHz band within 250 km of the United States-Canada border in the state of Alaska or the Yukon Territory.
- 3. The Use of the 406.1-420 MHz Band by the Fixed and Mobile Services
- **3.1-**Proposed frequency assignments in this band are subject to coordination between the Department of Communications and the National Telecommunications and Information Administration in accordance with the procedures of Arrangement D of this Agreement.
- **3.2-**Except for the bands identified in paragraph 3.6, the frequencies identified in paragraph 3.7 and the band identified in paragraph 3.9, all existing frequency assignments in the two countries which are included in the lists appended to this Arrangement as Annex A (Canada) and Annex B (United States) are accepted as coordinated by the Department of Communications and the National Telecommunications and Information Administration and have equal status under this Agreement.
- **3.3-**The United States will channel and use the band for assignments with 16 kHz or less necessary bandwidth on center frequencies spaced 25 kHz apart, from 406.125 to 419.975 MHz inclusive. Canada will channel and use the band for assignments with 16 kHz or less necessary bandwidth on center frequencies spaced 25 kHz apart from 406.1125 to 419.9875 MHz inclusive.
- **3.4-**The use of a necessary bandwidth greater than 16 kHz is discouraged but is permitted as an exception subject to coordination on a case by case basis in accordance with the procedures specified in Arrangement D of this Agreement.
- **3.5-**Canada, within its Coordination Zone, agrees to protect the existing and future unrestricted geographic use in the United States of the bands 406.1875-406.4625 and 408.6875-408.9625 MHz. Coordination with Canada of assignments in the United States in these bands is not required.
- **3.6-**Use of the bands 406.1875-406.4625 and 408.-6875-408.9625 MHz by Canada within its

Coordination Zone is to be coordinated on a case by case basis and must meet the terms of 3.5 above. It is understood that any such Canadian use of these bands will only be attempted as a last resort when a requirement cannot be met outside these bands. Any such coordinated radio system must be adjusted or removed if it causes interference to existing United States radio systems or is anticipated to cause interference to planned United States radio systems.

**3.7-**Canada, within its Coordination Zone, agrees to protect the existing and future unrestricted geographic use in the United States of the following center frequencies with 16 kHz or less necessary bandwidth (all MHz):

415.850	416.000	418.475
415.875	416.025	418.500
415.900	418.375	418.525
415.925	418.400	418.550
415.950	418.425	418.600
415.975	418.450	

Coordination with Canada of assignments in the United States on these frequencies is not required.

- **3.8-**Canadian use of the above listed center frequencies within its Coordination Zone is to be coordinated on a case by case basis and must meet the terms of 3.7 above. It is understood that any such Canadian use of these frequencies will only be attempted as a last resort when a requirement cannot be met on other frequencies. Any such coordinated radio system must be adjusted or removed if it causes interference to existing United States radio systems or is anticipated to cause interference to planned United States radio systems.
- **3.9-**With the exception of United States use of the frequency 409.625 MHz, the United States, within its Coordination Zone, agrees to protect the existing and future unrestricted geographic use in Canada of the band 409-410 MHz. Canadian use of the 409-410 MHz band is primarily for mobile stations paired with base stations in the 420-421 MHz band. Coordination with the United States of assignments in Canada in this band is not required. The protection of the existing and future unrestricted geographic use of the frequency 409.625 MHz in the United States is based on 16 kHz necessary bandwidth.
- **3.10-**With the exception of the United States use of the frequency 409.625 MHz, other use of the 409-410 MHz band by the United States within its

Coordination Zone is to be coordinated on a case by case basis and must meet the terms of 3.9 above. It is understood that any such United States use of the 409-410 MHz band within its Coordination Zone will only be attempted as a last resort when a requirement cannot be met outside the band. Any such coordinated radio system must be adjusted or removed if it causes interference to existing Canadian radio systems or is anticipated to cause interference to planned radio systems.

- **3.11-**It is recognized that Canada and the United States have unrestricted geographic use of the bands and/or frequencies specified in 3.5, 3.7 and 3.9. When the possibility exists that assignments outside the Coordination Zone may result in harmful interference to the radio services of the other country, due to the particular characteristics of such assignments (e.g., antenna height, power, directive arrays, etc.), special coordination may be initiated by that Agency which does not have the unrestricted geographic use.
- 4. The Use of the 420-421 MHz Band by the Fixed and Mobile Services
- **4.1-**The United States, within its Coordination Zone, agrees to protect the existing and future unrestricted geographic use in Canada of the band 420-421 MHz from Fixed and Mobile Services. Canadian use of the 420-421 MHz band is primarily for base stations paired with mobile stations in the 409-410 MHz band. Coordination with the United States of assignments in Canada in this band is not required, except as specified in 6.3.
- **4.2-**United States use of the 420-421 MHz band within its Coordination Zone is to be coordinated on a case by case basis and must meet the terms of 4.1 above. It is understood that any such United States use of 420-421 MHz within its Coordination Zone will only by attempted as a last resort when a requirement cannot be met outside the band. Any such coordinated radio system must be adjusted or removed if it causes interference to existing Canadian radio systems or is anticipated to cause interference to planned radio systems.
- **4.3-**It is recognized that Canada has unrestricted geographic use in Canada of the band 420-421 MHz, except as specified in Section 6. When the possibility exists that assignments in the Fixed and Mobile Services outside of the Coordination Zone in the

United States might result in harmful interference to the radio services in Canada, due to the particular characteristics of the U.S. assignments (e.g., antenna height, power, directive arrays, etc.), the U.S. Agency may effect special coordination of the frequencies involved.

- 5. The Use of the 421-430 MHz Band by the Fixed and Mobile Services
- **5.1-**Sharing of this band is carried out by the Agencies within the terms and conditions specified in this section. Figures 1, 2 and 3 represent the text of this section in chart and map form.
- **5.2-**The 421.000-424.9875 MHz and 426.000-429.9875 MHz bands will be used for Fixed and Mobile Services systems which will operate on frequency pairs: one frequency from each band. Mobile systems will operate with the mobile receivers on the lower band and mobile transmitters on the upper band. The 424.9875-426.000 MHz band will also be utilized for Fixed and Mobile Service systems.
- **5.3-**Except as provided in Paragraph 5.4 and Section 6, the 421-430 MHz band will be shared between the two countries as follows: a) Canada will have unrestricted geographic use of the bands 421.000-423.000 MHz and 425.500-428.000 MHz. b) The United States will have unrestricted geographic use of the bands 423.0125-425.4875 MHz and 428.0125-429.9875 MHz.
- **5.4-**In recognition of demographic circumstances, the division of spectrum between Canada and the United States varies from the general sharing provisions of Paragraph 5.3 in the two sectors defined below:
- a) Sector I is defined to be the portions of the Coordination Zone in the United States and Canada, bounded on the west by 85°W longitude and on the east by 81°W longitude. In this sector of the Coordination Zone, the United States will have the unrestricted geographic use of the bands 422.1875-425.4875 MHz and 427.1875-429.9875 MHz; Canada will have the unrestricted geographic use of the bands 421.000-422.175 MHz, and 425.500-427.175 MHz.
- b) Sector II is defined to be the portions of the Coordination Zone in the United States and Canada bounded on the west by 81°W longitude and on the east by 71°W longitude. In this sector of the Coor-

dination Zone, the United States will have the unrestricted geographic use of the bands 423.8125-425.4875 MHz and 428.8125-429.9875 MHz; Canada will have the unrestricted geographic use of the bands 421.000-423.800 MHz and 425.500-428.800 MHz.

**5.5-**As a result of the special sharing arrangements of Paragraph 5.4, the overlap of frequency bands occurs in the following geographical areas:

**5.5.1-**The geographical area in Canada is enclosed by the United States-Canada border; the meridian 71°W; and the line beginning at the intersection of 72°20'W and the United StatesCanada border, thence running north along the meridian 72°20'W to the intersection of 46°N, thence running east along 46°N to the meridian 71°W. Canada will channel and use the 423.0125-423.800 MHz and 428.0125-428.800 MHz bands for assignments with 16 kHz or less necessary bandwidth on center frequencies spaced 25 kHz apart from 423.0375 to 423.7875 MHz inclusive and 428.0375 to 428.7875 MHz inclusive.

The geographical area in the United States is enclosed by the United States-Canada border; the meridian 71°W; and the line beginning at the intersection of 44°13′N, 71°W, running by great circle arc to the intersection of 45°N and 69°40′W, thence north along the meridian 69°40′W, to the intersection of 46°N, thence running west along 46°N to the intersection of the United States-Canada border. The United States will channel and use the 423.0125-423.800 MHz and 428.0125-428.000 MHz bands for assignments with 16 kHz or less necessary bandwidth on center frequencies spaced 25 kHz apart from 423.025 to 423.775 MHz inclusive and 428.025 to 428.775 MHz inclusive.

Coordination of proposed frequency assignments in the bands 423.0125-423.800 MHz and 428.0125-428.800 MHz is required in two areas as follows:

- (a) The geographical area in Canada is enclosed by the United States-Canada border; the meridian 71°W; and the line beginning at the intersection of 72°W and the United States-Canada border, thence running north along meridian 72°W to the intersection of 45°45′N, thence running along 45 45′N to the meridian 71°W.
- (b) The geographical area in the United States is enclosed by the United States-Canada border; the meridian 71°W and the line beginning at the

intersection of 44°25 N and 71°W, thence running by great circle arc to the intersection of 45°N and 70°W, thence north along meridian 70°W to the intersection of 45°45 N, thence running west along 45°45 N to the intersection of the United States-Canada border.

**5.5.2-**Within the land area in the United States enclosed by the line of 81°W longitude, the arc of a circle of 120 km radius centered at the intersection of 81°W longitude and the northern shore of Lake Erie and drawn clockwise from the southerly intersection with 81°W longitude to the westerly intersection with the United States-Canada border, and the United States-Canada border, the United States will channel and use the bands 422.1875-423.800 MHz and 427.1875-428.800 MHz for assignments with 16 kHz or less necessary bandwidth on center frequencies spaced 25 kHz apart from 422.200 to 423.775 MHz inclusive and 427.200 to 428.775 MHz inclusive.

Within the land area in Canada enclosed by the line of 81°W longitude, the arc of a circle of 120 km radius centered at the intersection of 81°W longitude and the southern shore of Lake Erie drawn clockwise from the northerly intersection with 81°W longitude to the easterly intersection with the United States-Canada border, and the United States-Canada border, Canada will channel and use the bands 422.1875-423.800 MHz and 427.1875-428.800 MHz for assignments with 16 kHz or less necessary bandwidth on center frequencies spaced 25 kHz apart from 422.2125 to 423.7875 MHz inclusive and 427.-2125 to 428.7875 MHz inclusive.

5.5.3-Within the land area in the United States enclosed by the line of 85°W longitude, the arc of a circle of 120 km radius centered at the intersection of 85°W longitude and the Ontario-Lake Superior shore, and drawn counter-clock-wise from the southerly intersection with 85°W longitude to the easterly intersection with the United States-Canada border, and the United States-Canada border, the United States will channel and use the bands 422.1875-423.000 MHz and 427.1875-428.800 MHz for assignments with 16 kHz or less necessary bandwidth on center frequencies spaced 25 kHz apart from 422.200 to 422.975 MHz and 427.200 to 427.975 MHz inclusive.

Within the land area in Canada enclosed by the line of 85°W longitude, the arc of a circle of 120 km radius centered at the intersection of 85°W longitude

and Michigan-Lake Superior shore, drawn counter-clockwise from the northerly intersection with 85°W longitude to the westerly intersection with the United States-Canada border, and the United States-Canada border, Canada will channel and use the bands 422.1875-423.000 MHz and 427.1875-428.000 MHz for assignments with 16 kHz or less necessary bandwidth on center frequencies spaced 25 kHz apart from 422.2125 to 422.9875 MHz inclusive and 427.2125 to 427.9875 MHz inclusive.

**5.6-**In order to minimize the need for coordination in the band 421-430 MHz, Effective Radiated Power (ERP) and Effective Antenna Height (EAH) guidelines have been established as provided in Annex C. If these ERP values are exceeded, within the corresponding EAH ranges, coordination is required in accordance with the procedures specified in Arrangement D of this Agreement.

6. Conditions for the Shared Use of the 420-430 MHz Band by the Canadian Fixed and Mobile Services with the United States Radiolocation Service

**6.1-**Existing United States fixed installation radars, with exception of the installation at Concrete, N.D. and those in Alaska, which will receive or cause harmful interference from or to fixed and mobile operations in Canadian territory, will restrict their operational use to the 430-450 MHz band except during emergency periods when the United States reserves the right to operate all radiolocation devices on an unrestricted basis. The United States radar at Concrete, N.D. and Canadian fixed and mobile systems in the adjacent border area will be protected from interference by observation in Canada of fixed and mobile system power and height restrictions.

No use of this band by the Fixed and Mobile Services will be allowed to advertently impact the operation of the radar at Concrete, N.D. If the United States reports harmful interferences to its radar at Concrete, N.D., which is caused by fixed or mobile operations in Canada, Canada will cooperate in the immediate identification and elimination of such harmful interference. Subsequently the United States will cooperate to attempt to reach a mutually satisfactory resolution of the problem.

**6.2-**The United States reserves the right, irrespective of other provisions of this Arrangement, to operate in the band 420-430 MHz radiolocation stations onboard fixed wing aircraft. However, the United States will

minimize use of this band on flights when they are within possible interference range of fixed and mobile operations in major Canadian population areas. If Canada reports harmful interference to Canadian fixed or mobile operations which is caused by radiolocation transmission from United States fixed wing aircraft, the United States will cooperate in resolution of such harmful interference to the maximum extent possible.

- **6.3-**Proposed assignments for Canadian fixed and mobile systems which are not in accordance with the constraints specified for mutual compatibility with the radar at Concrete, N.D. and with radars aboard U.S. ships transiting the Strait of Juan de Fuca and Puget Sound and any other proposed assignment whose compatibility with these radiolocation units is in doubt, will be coordinated with the National Telecommunications and Information Administration.
- **6.4-**Experimental research and development transmissions by fixed radiolocation systems in this band in the United States within 250 km of the United States-Canada border will be on a non-interference basis and with notification to Canada.
- **6.5-**Except for operations on fixed wing aircraft, United States tactical and training radiolocation operations in the 420-430 MHz band will be on a non-interference basis.

**6.6-**Except for the state of Alaska, any future fixed installation radiolocation system proposed for United States operation within 250 km of the United States-Canada border which would normally operate in the 420-430 MHz band will be sub-ject to prior coordination with Canada. The United States will confer with Canada concerning proposed modifications to the characteristics of current radiolocation systems or their replacement, if such modifications or replacements could im-pose further restrictions on Canadian operations in the Fixed and Mobile Services. In the event that radiolocation operations in the band 420-430 MHz, at Concrete, N.D. or on ships in the Strait of Juan de Fuca are terminated the United States will notify Canada, and the special arrangements herein will cease to apply in the affected Canadian area.

### ANNEX C

# Limits of Effective Radiated Power and Effective Antenna Height for the Band 421-430 MHz

Effective Radiated Power (ERP) is defined as the product of the power supplied to the antenna and its gain relative to a half-wave dipole in a given direction.

For base stations in the Coordination Zone, Table C1 lists the limits of ERP corresponding to the Effective Antenna Height (EAH) ranges shown. EAH is calculated by subtracting the Assumed Average Terrain Elevation (AATE) given in Table C2 from the antenna elevation above mean sea level.

**TABLE C1: Limits of ERP and EAH** 

Effective Ar	ntenna Height	Maximum Effective Radiated
Feet	Meters	Power (ERP) towards the bor- der, Watts
up to 500	up to 152	250
501-1000	153-305	150
1001-1500	306-457	75
1501-2000	458-609	40
2001-2500	610-762	20
2501-3000	763-914	15
3001-4000	915-1210	10
above 4000	above 1210	5

Table C2 lists the value of Assumed Average Terrain Elevations (AATE) within the Coordination Zone on both sides of the United StatesCanada Border.

TABLE C2: Values of Assumed Average Terrain Elevations (AATE)

	Latitude	Assı	erage Tern ations	age Terrain ions	
Longitude (φ)	(θ)	U.	S.	Car	ıada
		Ft	m	Ft	m
65≤φ<69	θ<45	0	0	0	0
65≤φ<69	45<θ<46	300	91	300	91
65≤φ<69	θ≥46	1000	305	1000	305
69≤φ<73	all	2000	609	1000	305
73≤φ<74	all	500	152	500	152
74≤φ<78	all	250	76	250	76
78≤φ<80	θ<43	500	152	500	152
78≤φ<80	θ≥43	250	76	250	76
80≤φ<90	all	600	183	600	183
90≤φ<98	all	1000	305	1000	305
98≤φ<102	all	1500	457	1500	457
102≤φ<108	all	2500	762	2500	762
108≤φ<111	all	3500	1066	3500	1066
111≤φ<113	all	4000	1219	3500	1066
113≤φ<114	all	5000	1524	4000	1219
114≤¢<121.5	all	3000	914	3000	914
φ≥121.5	all	0	0	0	0

Figure C1

Figure 1

Figure 2

# 3.5 FOREIGN REPORTS TO FCC OF INTER-FERENCE FROM U.S. GOVERNMENT STATIONS

The FCC takes the following action upon receipt from another country of a complaint of interference from a U.S. Government station:

- (1) When practicable, the interfering station is positively identified.
- (2) The complaint is acknowledged and sent by letter of transmittal to the cognizant Government agency, with copies to the Assistant Secretary of Commerce for Communications and Information and the Department of State.
- (3) If the cognizant Government agency responds via FCC channels, the letter or telegram of response is forwarded by the FCC without comment to the government concerned.

# 3.6 PROCEDURE FOR RESOLVING HARM-FUL INTERFERENCE FROM CANADIAN STATIONS

Within the U.S./Canadian coordination border zone, some intermittent interference can be expected; however, if the interfering Canadian station has been positively identified and the coordination date is earlier than the U.S. coordination date, little can be done provided the Canadian station is operating in accordance with the provisions of the coordination. When harmful interference from a Canadian station is severe enough to interrupt a U.S. radiocommunications service and relief from Canada is desired, an interference report (see Section 8.2.30) and any comments which are deemed pertinent for resolution of the harmful interference must be forwarded to the Executive Secretary of the IRAC if the IRAC Secretariat is expected to assist in the resolution of the interference. The Executive Secretary will then take formal action with Canada to attempt to resolve the interference.

# 3.7 APPLICATIONS TO OPERATE WITHIN THE INMARSAT SYSTEM

The current procedure for processing Government applications to operate within the International Maritime Satellite (INMARSAT) Organization is

contained in IRAC Doc. 29331. Federal Government applicants are to submit their applications through the designated point-of-contact for their agency or department as indicated in the IRAC document referenced above

### 3.8 INTERNATIONAL AGREEMENTS

Frequently U.S. Government agencies consummate agreements/understandings with foreign entities (government agencies or international organizations) which include provisions regarding the use of the radio spectrum by U.S. entities. When such agreements/understandings are being developed it is essential that the U.S. agencies involved ensure that the spectrum provisions do not conflict with U.S. policy or spectrum uses, including U.S. overseas operations. The assistance of NTIA and FCC shall be sought if there is any doubt as to conflict with U.S. policy or spectrum use prior to the conclusion of such agreements/understandings.

When such agreements/understandings which have a potential impact on U.S. spectrum use are consummated the responsible agency will promptly provide copies of the spectrum related provisions to NTIA.

# 3.9 UNITED STATES-MEXICO COORDINA-TION ARRANGEMENTS

# 3.9.1 General

On June 16, 1994, the United States and Mexico signed an agreement for frequency bands used by terrestrial non-broadcasting radiocommunications services (IRAC Document 28874). Protocols which deal with specific frequency bands and radiocommunications services will be annexed to this agreement as they are signed.

The following table indicates for each of the Protocols the frequency bands and types of assignments involved:

Band	Coordination Remarks	Type of Assignments Involved
190-285 kHz	Protocol 9	Aeronautical
285-435 kHz	Protocol 9	Aeronautical
510-535 kHz	Protocol 9	Aeronautical
74.8-75.2 MHz	Protocol 9	Aeronautical
108-118 MHz	Protocol 9	Aeronautical
118-137 MHz	Protocol 9	Aeronautical
162-174 MHz	Administrative Arrangements	Fixed and Mobile
220-222 MHz	Protocol 1	Land Mobile
328.6-335.4 MHz	Protocol 9	Aeronautical
470-512 MHz	Protocol 2	Land Mobile
806-824 MHz	Protocol 3	Land Mobile
824-849 MHz	Protocol 4	Cellular
849-851 MHz	Protocol 5	Public Air-to- Ground
851-869 MHz	Protocol 3	Land Mobile
869-894 MHz	Protocol 4	Cellular
894-896 MHz	Protocol 5	Public Air-to- Ground
896-901 MHz	Protocol 3	Land Mobile
901-902 MHz	Protocol 7	Personal Communications
930-931 MHz	Protocol 7	Personal Communications
932-932.5 MHz	Protocol 6	Fixed
932.5-935 MHz	Protocol 10	Fixed
935-940 MHz	Protocol 3	Land Mobile
940-941 MHz	Protocol 7	Personal Communications
941-941.5 MHz	Protocol 6	Fixed
941.5-944 MHz	Protocol 10	Fixed
960-1215 MHz	Protocol 9	Aeronautical
1215-1400 MHz	Protocol 9	Aeronautical
1850-1990 MHz	Protocol 8	Personal Communications
2700-2900 MHz	Protocol 9	Aeronautical

4200-4400 MHz	Protocol 9	Aeronautical
5000-5250 MHz	Protocol 9	Aeronautical
5350-5470 MHz	Protocol 9	Aeronautical
9000-9200 MHz	Protocol 9	Aeronautical
13.25-13.4 GHz	Protocol 9	Aeronautical
15.4-15.7 GHz	Protocol 9	Aeronautical

Specific protocols and memoranda of understanding involving bands allocated for Federal Government use are given in subsequent sections of this part.

3.9.2 Agreement Between the Government of the United States of America and the Government of the United Mexican States Concerning the Allocation and Use of Frequency Bands by Terrestrial Non-broadcasting Radiocommunication Services along the Common Border

(Signed Williamsburg, VA, June 16, 1994)

The Government of the United States of America and the Government of the United Mexican States, the Parties, desiring to continue their mutual understanding and cooperation regarding telecommunications services, recognizing the sovereign right of both countries to manage their telecommunications, taking into account the provisions of Article 31 of the International Telecommunication Convention Nairobi, 1982, and Article 7 of the Radio Regulations (1982 edition), considered an annex to the Convention, in order to establish the conditions for the use of frequency bands by terrestrial non-broadcasting radiocommunication services along their common border, have agreed as follows:

#### ARTICLE I.

# **Purposes**

The purposes of this Agreement are:

1. To establish and adopt common plans for the equitable use of frequency bands by terrestrial non-broadcasting radiocommunications services in areas on either side of the common border.

- 2. To achieve an equitable distribution of the available frequencies.
- 3. To establish the conditions and technical criteria to regulate the use of the frequencies.

### ARTICLE II.

#### **Conditions of Use**

The allocation of frequency bands for specific radio services and the conditions for their use shall be as agreed in Protocols which form an integral part of this Agreement and which shall be included in Annex I to this Agreement. A listing of the Protocols shall be maintained in the Index to Annex I.

### ARTICLE III.

# **Termination of Previous Agreements**

Upon entry into force, this Agreement supersedes existing agreements between the United States of America and the United Mexican States and memoranda of understanding between the agencies of the governments thereof listed in Annex II of this Agreement and replaces them with the corresponding Protocols included in Annex I of this Agreement.

# ARTICLE IV.

# **Implementing Entities**

The entities responsible for implementing this Agreement, herein referred to as the Authorities, shall be, for the United Mexican States, the Secretaria de Comunicaciones y Transportes and, for the United States of America, the Department of State.

The entities responsible for implementing each of the Protocols included in Annex I to this Agreement, herein referred to as the Administrations, shall be as designated by the Authorities in each of the Protocols. In those cases where an Authority designates more than one Administration responsible for implementation of a Protocol, one of the Administrations shall be designated as responsible for coordination with the Administration of the other Party.

### ARTICLE V.

# **Amendment of the Agreement and Protocols**

This Agreement may be amended by agreement of the Parties. Said amendments shall enter into force on the date on which both Parties have notified each other by exchange of diplomatic notes that they have complied with the requirements of their respective national legislation.

The annexed Protocols may be amended and additional Protocols concluded by written agreement of the Administrations. Such amendments and additional Protocols shall be included in Annex I of this Agreement by the Parties.

### ARTICLE VI.

# **Entry into Force and Duration**

This Agreement shall enter into force on the date on which both Parties have notified each other by exchange of diplomatic notes that they have complied with the requirements of their respective national legislation for entry into force. It shall remain in force until it is replaced by a new agreement or until it is terminated by either Party in accordance with Article VII of this Agreement.

#### ARTICLE VII.

# **Termination of the Agreement**

This Agreement may be terminated by mutual agreement of the Parties or by either Party by written notice of termination to the other Party through diplomatic channels. Such notice of termination shall enter into effect one year after receipt of the notice.

Any of the Protocols annexed to this Agreement may be terminated by agreement of the Administrations or by either Administration by written notice of termination to the other Administration(s). Such notice of termination shall enter into effect one year after receipt of the notice. Upon termination, Annex I of this Agreement shall be appropriately modified by the Parties.

# Index of Protocols Annexed to the Agreement

#### Protocol 1

Protocol Concerning the Allocation and Use of the Channels in the 220-222 MHz Band For Land Mobile Services Along the Common Border

### Protocol 2

Protocol Concerning Use of the 470-512 MHz Band For Land Mobile Services Along the Common Border

### Protocol 3

Protocol Concerning the Use of the 806-824/851-869 and 896-901/935-940 MHz Bands for Land Mobile Services Along the Common Border

#### Protocol 4

Protocol Concerning Conditions of Use of the 824-849 and 869-894 MHz Bands for Public Radiocommunications Services Using Cellular Systems Along the Common Border

# **Protocol 5**

Protocol Concerning the Use of the 849-851 and 894-896 MHz Bands For Public Air-to-Ground Services

### Protocol 6

Protocol Concerning the Allotment and Use of Channels in the 932-932.5 and 941-941.5 MHz Bands for Fixed Point-to-Multipoint Services Along the Common Border

### **Protocol 7**

Protocol Concerning the Allocation and Use of the Bands 901-902 MHz, 930-931 MHz, and 940-941 MHz Bands for Personal Communications Services Along the Common Border

### **Protocol 8**

Protocol Concerning the Use of the Band 1850-1990 MHz for Personal Communications Services Along the Common Border

### **Protocol 9**

Protocol Concerning the Use of Bands Allocated to the Aeronautical Radionavigation and Aeronautical Communications Services Along the Common Border

#### Protocol 10

Protocol Concerning the Use of Channels in the 932.5-935 MHz and the 941.5-944 MHz Bands for Fixed Point-to-Point Services Along the Common Border

# **ANNEX II**

# List of Agreements and Memoranda of Understanding Terminated by Article III of this Agreement

Agreement between the United States of America Government and the Government of the United Mexican States Concerning Land Mobile Service in the Bands 470-512 MHz and 806-890 MHz along their Common Border (Signed Mexico City, June 18, 1982.)

Agreement between the Governments of the United States of America and the United Mexican States Regarding Conditions for Utilization of the Bands 825-845 MHz and 870-890 MHz, for Public Radiocommunications Services Using Cellular Systems along the Common U.S.-Mexican Border (Signed Mexico City, September 12, 1988.)

Memorandum of Understanding between the Federal Communications Commission of the United States of America and the Secretaria de Comunicaciones y Transportes of the United Mexican States Concerning Conditions of Use of the 824-825, 845-849, and 869-870 MHz Bands for Public Radiocommunications Services Using Cellular Systems along the Common Border (Signed Washington, DC, June 21, 1993.)

Memorandum of Understanding between the Federal

Communications Commission of the Government of the United States of America and the Secretaria de Comunicaciones y Transportes of the United Mexican States Concerning Conditions of Use of the 890-894 MHz Band for Public Radiocommunications Services Using Cellular Systems along the Common Border (Signed Queretaro, Mexico, August 11, 1992.)

Memorandum of Understanding between the Federal Communications Commission of the United States of America and the Secretaria de Comunicaciones y Transportes of the United Mexican States Concerning Private Land Mobile Use of the Bands 821-824 MHz and 866-869 MHz along the Common Border (Signed Chestertown, MD, July 2, 1991.)

Memorandum of Understanding between the Federal Communications Commission of the United States of America and the Secretaria de Comunicaciones y Transportes of the United Mexican States Concerning the Use of the 896-901 and 935-940 MHz Bands for the Land Mobile Service along the Common Border (Signed Queretaro, Mexico, August 11, 1992.)

Agreement between the United States of America and the United Mexican States Concerning the Allocation and Use of the Channels in the 220-222 MHz Band along the Common Border (Signed Queretaro, Mexico, August 11, 1992.)

# 3.9.3 Protocol 1 Concerning the Allocation and Use of the Channels in the 220-222 MHz Band for Land Mobile Services along the Common Border

(Signed Williamsburg, VA, June 16, 1994)

This Protocol is being concluded pursuant to the Agreement Between the Government of the United States of America and the Government of the United Mexican States Concerning the Allocation and Use of Frequency Bands by Terrestrial Non-Broadcasting Radiocommunication Services Along the Common Border signed June 16, 1994, herein referred to as the Agreement.

### ARTICLE I.

# **Purposes**

The purposes of this Protocol are:

- 1. To establish and adopt a common plan for the use of the 220-222 MHz frequency band within a distance of 120 kilometers on each side of the common border (Sharing Zone) and to achieve an equitable distribution of the available channels.
- 2. To establish technical criteria to regulate the use of the channels.
- 3. To establish conditions of use so that each Administration may use the channels allotted to the other country, provided this causes no interference.

### ARTICLE II.

### **Definitions**

For the purpose of this Protocol and as provided for in Article IV of the Agreement, the term Administration(s) shall refer to the Federal Communications Commission and the National Telecommunications and Information Administration of the United States of America and the Secretaria de Comunicaciones y Transportes of the United Mexican States.

### ARTICLE III.

### **Conditions of Use**

- 1. In the agreed Sharing Zone, the Administrations shall use the frequency plan in the Table of Allotment appearing as the Appendix to this Protocol, which shall form an integral part of this Protocol.
- 2. Within the Sharing Zone, the frequencies in the 220-222 MHz band shall be shared by the Administrations in accordance with the Appendix to this Protocol.
- 3. The following channels shall be available for the Administrations on an unprotected basis and operated with a maximum effective radiated power (ERP) of 2 watts and a maximum antenna height of 6.1 meters above ground.

Channel	Base	Mobile
195	220.9725 MHz	221.9725 MHz
196	220.9775 MHz	221.9775 MHz
197	220.9825 MHz	221.9825 MHz
198	220.9875 MHz	221.9875 MHz
199	220.9925 MHz	221.9925 MHz
200	220.9975 MHz	221.9975 MHz

4. The assignments which an Administration makes of its own primary use frequencies within the Sharing Zone shall be authorized subject to the effective radiated power (ERP) and antenna height limits specified in the following table:

Antenna Height Above Mean Sea Level Meters	ERP Watts (Maximum)
Up to 150	500
Above 150 to 225	250
Above 225 to 300	125
Above 300 to 450	60
Above 450 to 600	30
Above 600 to 750	20
Above 750 to 900	15
Above 900 to 1,050	10
Above 1,050	5

The maximum effective radiated power allowable for portable/mobile units shall be 50 watts.

5. Each Administration that authorizes the development of major wide area systems in the 220-

3.9.4 Protocol 6 Concerning the Allotment and Use of Channels in the 932-932.5 and 941-941.5 MHz Bands for Fixed Point-to-multipoint Services along the Common Border

222 MHz band shall provide the Administration(s) of the other country information about these systems to promote mutual compatibility and benefits.

- 6. Frequencies allotted for the primary use of one Administration may be assigned by the Administration(s) of the other country within the Sharing Zone in accordance with the following conditions:
- a. The maximum power flux density (pfd) at any point at or beyond the border shall not exceed -86 dBW/m2.
- b. Administrations shall take proper measures to eliminate any harmful interference caused by their licensees.
- c. Each Administration shall grant protection to stations that have primary use of the authorized frequency.
- d. Stations operating under this provision shall be considered as secondary and shall not be granted protection against harmful interference from stations that have primary use of the authorized frequency.

### ARTICLE IV.

# **Exchange of Data**

In May of each year, the Federal Communications Commission of the United States of America and the Secretaria de Comunicaciones y Transportes of the United Mexican States shall exchange summary lists of all of their country's assignments in the 220-222 MHz band within Sharing Zone.

### ARTICLE V.

# **Entry Into Force and Termination**

This Protocol shall enter into force on the same date as the Agreement. It shall remain in force until it is replaced by a new Protocol, or until it is terminated in accordance with Article VII of the Agreement.

(Signed Williamsburg, VA, June 16, 1994)

This Protocol is being concluded pursuant to the Agreement Between the Government of the United States of America and the Government of the United

APPENDIX  TABLE OF ALLOTMENT  220 - 222 MHz Band								
					Channel	Base Frequency	Mobile Frequency	Country
					1	220.0025	221.0025	Mexico
2	220.0075	221.0075	Mexico					
3	220.0125	221.0125	Mexico					
4	220.0175	221.0175	Mexico					
5	220.0225	221.0225	Mexico					
6	220.0275	221.0275	Mexico					
7	220.0325	221.0325	Mexico					
8	220.0375	221.0375	Mexico					
9	220.0425	221.0425	Mexico					
10	220.0475	221.0475	Mexico					
11	220.0525	221.0525	Mexico					
12	220.0575	221.0575	Mexico					
13	220.0625	221.0625	Mexico					
14	220.0675	221.0675	Mexico					
15	220.0725	221.0725	Mexico					
16	220.0775	221.0775	United States					
17	220.0825	221.0825	United States					
18	220.0875	221.0875	United States					
19	220.0925	221.0925	United States					
20	220.0975	221.0975	United States					
21	220.1025	221.1025	United States					
22	220.1075	221.1075	United States					
23	220.1125	221.1125	United States					

	APPENDIX				
TABLE OF ALLOTMENT  220 - 222 MHz Band					
					Channel
24	220.1175	221.1175	United States		
25	220.1225	221.1225	United States		
26	220.1275	221.1275	United States		
27	220.1325	221.1325	United States		
28	220.1375	221.1375	United States		
29	220.1425	221.1425	United States		
30	220.1475	221.1475	United States		
31	220.1525	221.1525	Mexico		
32	220.1575	221.1575	Mexico		
33	220.1625	221.1625	Mexico		
34	220.1675	221.1675	Mexico		
35	220.1725	221.1725	Mexico		
36	220.1775	221.1775	Mexico		
37	220.1825	221.1825	Mexico		
38	220.1875	221.1875	Mexico		
39	220.1925	221.1925	Mexico		
40	220.1975	221.1975	Mexico		
41	220.2025	221.2025	Mexico		
42	220.2075	221.2075	Mexico		
43	220.2125	221.2125	Mexico		
44	220.2175	221.2175	Mexico		
45	220.2225	221.2225	Mexico		
46	220.2275	221.2275	United States		

	APPENDIX		
	TABLE OF ALLOTMENT		
	220 - 222 I	MHz Band	
Channel	Base Frequency	Mobile Frequency	Country
47	220.2325	221.2325	United States
48	220.2375	221.2375	United States
49	220.2425	221.2425	United States
50	220.2475	221.2475	United States
51	220.2525	221.2525	United States
52	220.2575	221.2575	United States
53	220.2625	221.2625	United States
54	220.2675	221.2675	United States
55	220.2725	221.2725	United States
56	220.2775	221.2775	United States
57	220.2825	221.2825	United States
58	220.2875	221.2875	United States
59	220.2925	221.2925	United States
60	220.2975	221.2975	United States
61	220.3025	221.3025	Mexico
62	220.3075	221.3075	Mexico
63	220.3125	221.3125	Mexico
64	220.3175	221.3175	Mexico
65	220.3225	221.3225	Mexico
66	220.3275	221.3275	Mexico
67	220.3325	221.3325	Mexico
68	220.3375	221.3375	Mexico
69	220.3425	221.3425	Mexico

APPENDIX			
	TABLE OF ALLOTMENT		
	220 - 222 I	MHz Band	
Channel	Base Frequency	Mobile Frequency	Country
70	220.3475	221.3475	Mexico
71	220.3525	221.3525	Mexico
72	220.3575	221.3575	Mexico
73	220.3625	221.3625	Mexico
74	220.3675	221.3675	Mexico
75	220.3725	221.3725	Mexico
76	220.3775	221.3775	United States
77	220.3825	221.3825	United States
78	220.3875	221.3875	United States
79	220.3925	221.3925	United States
80	220.3975	221.3975	United States
81	220.4025	221.4025	United States
82	220.4075	221.4075	United States
83	220.4125	221.4125	United States
84	220.4175	221.4175	United States
85	220.4225	221.4225	United States
86	220.4275	221.4275	United States
87	220.4325	221.4325	United States
88	220.4375	221.4375	United States
89	220.4425	221.4425	United States
90	220.4475	221.4475	United States
91	220.4525	221.4525	Mexico
92	220.4575	221.4575	Mexico

	APPENDIX TABLE OF ALLOTMENT		
	220 - 222 ]	MHz Band	
Channel	Base Frequency	Mobile Frequency	Country
93	220.4625	221.4625	Mexico
94	220.4675	221.4675	Mexico
95	220.4725	221.4725	Mexico
96	220.4775	221.4775	Mexico
97	220.4825	221.4825	Mexico
98	220.4875	221.4875	Mexico
99	220.4925	221.4925	Mexico
100	220.4975	221.4975	Mexico
101	220.5025	221.5025	Mexico
102	220.5075	221.5075	Mexico
103	220.5125	221.5125	Mexico
104	220.5175	221.5175	Mexico
105	220.5225	221.5225	Mexico
106	220.5275	221.5275	United States
107	220.5325	221.5325	United States
108	220.5375	221.5375	United States
109	220.5425	221.5425	United States
110	220.5475	221.5475	United States
111	220.5525	221.5525	United States
112	220.5575	221.5575	United States
113	220.5625	221.5625	United States
114	220.5675	221.5675	United States
115	220.5725	221.5725	United States

APPENDIX			
	TABLE OF ALLOTMENT		
	220 - 222 I	MHz Band	
Channel	Base Frequency	Mobile Frequency	Country
116	220.5775	221.5775	United States
117	220.5825	221.5825	United States
118	220.5875	221.5875	United States
119	220.5925	221.5925	United States
120	220.5975	221.5975	United States
121	220.6025	221.6025	Mexico
122	220.6075	221.6075	Mexico
123	220.6125	221.6125	Mexico
124	220.6175	221.6175	Mexico
125	220.6225	221.6225	Mexico
126	220.6275	221.6275	Mexico
127	220.6325	221.6325	Mexico
128	220.6375	221.6375	Mexico
129	220.6425	221.6425	Mexico
130	220.6475	221.6475	Mexico
131	220.6525	221.6525	Mexico
132	220.6575	221.6575	Mexico
133	220.6625	221.6625	Mexico
134	220.6675	221.6675	Mexico
135	220.6725	221.6725	Mexico
136	220.6775	221.6775	United States
137	220.6825	221.6825	United States
138	220.6875	221.6875	United States

APPENDIX			
	TABLE OF ALLOTMENT		
	220 - 222 I	MHz Band	
Channel	Base Frequency	Mobile Frequency	Country
139	220.6925	221.6925	United States
140	220.6975	221.6975	United States
141	220.7025	221.7025	United States
142	220.7075	221.7075	United States
143	220.7125	221.7125	United States
144	220.7175	221.7175	United States
145	220.7225	221.7225	United States
146	220.7275	221.7275	Mexico
147	220.7325	221.7325	Mexico
148	220.7375	221.7375	Mexico
149	220.7425	221.7425	Mexico
150	220.7475	221.7475	Mexico
151	220.7525	221.7525	Mexico
152	220.7575	221.7575	Mexico
153	220.7625	221.7625	Mexico
154	220.7675	221.7675	Mexico
155	220.7725	221.7725	Mexico
156	220.7775	221.7775	United States
157	220.7825	221.7825	United States
158	220.7875	221.7875	United States
159	220.7925	221.7925	United States
160	220.7975	221.7975	United States
161	220.8025	221.8025	United States

APPENDIX			
	TABLE OF ALLOTMENT		
	220 - 222 I	MHz Band	
Channel	Base Frequency	Mobile Frequency	Country
162	220.8075	221.8075	United States
163	220.8125	221.8125	United States
164	220.8175	221.8175	United States
165	220.8225	221.8225	United States
166	220.8275	221.8275	Mexico
167	220.8325	221.8325	Mexico
168	220.8375	221.8375	Mexico
169	220.8425	221.8425	Mexico
170	220.8475	221.8475	Mexico
171	220.8525	221.8525	Mexico
172	220.8575	221.8575	Mexico
173	220.8625	221.8625	Mexico
174	220.8675	221.8675	Mexico
175	220.8725	221.8725	Mexico
176	220.8775	221.8775	Mexico
177	220.8825	221.8825	Mexico
178	220.8875	221.8875	United States
179	220.8925	221.8925	United States
180	220.8975	221.8975	United States
181	220.9025	221.9025	United States
182	220.9075	221.9075	United States
183	220.9125	221.9125	United States
184	220.9175	221.9175	United States

	APPENDIX		
	TABLE OF A	ALLOTMENT	
	220 - 222	MHz Band	
Channel	Base Frequency	Mobile Frequency	Country
185	220.9225	221.9225	United States
186	220.9275	221.9275	United States
187	220.9325	221.9325	United States
188	220.9375	221.9375	United States
189	220.9425	221.9425	United States
190	220.9475	221.9475	United States
191	220.9525	221.9525	United States
192	220.9575	221.9575	United States
193	220.9625	221.9625	United States
194	220.9675	221.9675	United States
195	220.9725	221.9725	Both Countries
196	220.9775	221.9775	Both Countries
197	220.9825	221.9825	Both Countries
198	220.9875	221.9875	Both Countries
199	220.9925	221.9925	Both Countries
			·

221.9975

**Both Countries** 

220.9975

200

Mexican States Concerning the Allocation and Use of Frequency Bands by Terrestrial Non-Broadcasting Radiocommunication Services Along the Common Border signed June 16, 1994, herein referred to as the Agreement.

#### ARTICLE I.

#### **Purposes**

The purposes of this Protocol are:

- 1. To establish and adopt an allotment plan for the use of channels in the 932-932.5 and 941-941.5 MHz bands within a distance of 113 kilometers on each side of the common border (Sharing Zone) for fixed point-to-multipoint radiocommunication stations and to achieve an equitable distribution of the available channels.
- 2. To establish technical criteria to regulate point-to-multipoint radiocommunication stations in the 932-932.5 and 941-941.5 MHz bands.
- 3. To establish conditions of use so that each Administration may use the channels allotted to the other country, if this use causes no interference.

#### ARTICLE II.

#### **Definition**

For the purpose of this Protocol and as provided for in Article IV of the Agreement, the term Administration(s) shall refer to the Federal Communications Commission and the National Telecommunications and Information Administration of the United States of America and the Secretaria de Comunicaciones y Transportes of the United Mexican States.

#### ARTICLE III.

#### **Conditions of Use**

- 1. In the agreed Sharing Zone, Administrations shall use the frequency plan in the Table of Allotment appearing as the Appendix to this Protocol, which shall form an integral part of this Protocol.
- 2. Within the Sharing Zone, the frequencies in the 932-932.5 and 941-941.5 MHz bands shall be shared

by the Administrations in accordance with the Appendix to this Protocol.

- 3. The assignments which a country makes of its own primary use frequencies within the Sharing Zone shall be authorize subject to the effective isotropic radiated power (EIRP) and antenna height limits specified in the following table:
  - a. Station use of the 941-941.5 MHz band:

Antenna Height Above Mean Sea Level (Meters)	Maximum Effective Radiated Power (Watts)	Isotropic (EIRP) (dBW)
Up to 152	1000	30
Above 152 to 182	630	28
Above 182 to 213	500	27
Above 213 to 243	400	26
Above 243 to 274	315	25
Above 274 to 305	250	24
Above 305	200	23

- b. Stations using the 932-932.5 MHz band shall be limited to the maximum effective isotropic radiated power of 50 watts (17 dBW).
- 4. Frequencies allotted for the primary use of one country may be assigned by the other country within the Sharing Zone in accordance with the following conditions:
- a. The maximum power flux density (pfd) at any point at or beyond the border shall not exceed  $100 \ dBW/m^2$ .
- b. Administrations shall take proper measures to eliminate any harmful interference caused by their licensees.
- c. Each Administration shall grant protection to stations that have primary use of the authorized frequency.
- d. Stations operating under this provision shall be considered as secondary and shall not be granted protection against harmful interference from stations that have primary use of the authorized frequency.

#### ARTICLE IV.

#### Transborder Traffic

Administrations will make their best efforts to satisfy the needs of transborder traffic.

# **ARTICLE V. Exchange of Data**

In October of each year, the Federal Commission for the United States of America and the Secretaria de Comunicaciones y Transportes of the United Mexican States shall exchange summary lists of all of their country's assignments in the 932-932.5 and 941-941.5 MHz bands within Sharing Zone.

#### ARTICLE VI.

#### **Entry Into Force and Termination**

This Protocol shall enter into force on the same date as the Agreement. It shall remain in force until it is replaced by a new Protocol, or until it is terminated in accordance with Article VII of the Agreement.

## **APPENDIX**

## TABLE OF ALLOTMENT

### 932-932.5 and 941-941.5 MHz Bands

## **Channel Pairs for Point-to-Multipoint Assignments**

Mexico		United St	ates
932.00625	941.00625	932.25625	941.25625
932.01875	941.01875	932.26875	941.26875
932.03125	941.03125	932.28125	941.28125
932.04375	941.04375	932.29375	941.29375
932.05625	941.05625	932.30625	941.30625
932.06875	941.06875	932.31875	941.31875
932.08125	941.08125	932.33125	941.33125
932.09375	941.09375	932.34375	941.34375
932.10625	941.10625	932.35625	941.35625
932.11875	941.11875	932.36875	941.36875
932.13125	941.13125	932.38125	941.38125
932.14375	941.14375	932.39375	941.39375
932.15625	941.15625	932.40625	941.40625
932.16875	941.16875	932.41875	941.41875
932.18125	941.18125	932.43125	941.43125
932.19375	941.19375	932.44375	941.44375
932.20625	941.20625	932.45625	941.45625
932.21875	941.21875	932.46875	941.46875
932.23125	941.23125	932.48125	941.48125
932.24375	941.24375	932.49375	941.49375

3.9.5 Protocol 9 Concerning the Use of Bands Allocated to the Aeronautical Radionavigation and Aeronautical Communications Services Along the Common Border.

(Signed Morelia, Mexico, April 26, 1996)

This Protocol is being concluded pursuant to the Agreement Between the Government of the United States of America and the Government of the United Mexican States Concerning the Allocation and Use of Frequency Bands by Terrestrial Non-Broadcasting Radiocommunication Services Along the Common Border signed June 16, 1994, herein referred to as the Agreement.

#### ARTICLE I.

#### **Purposes**

The purposes of this Protocol are:

- 1. To establish a procedure for the coordination of frequency assignment information and the exchange of engineering comments on proposed frequency assignments for Aeronautical Radionavigation and Aeronautical Communications Services along the Mexico/United States common border.
- 2. To establish the frequency bands and technical criteria that is to be provided as part of the coordination of proposed frequency assignments.
- 3. To establish conditions of use so that each Administration has access to all of the channels in each of the frequency bands, provided that the use does not cause harmful interference to stations in the other country.

#### ARTICLE II.

#### **Definition**

For the purpose of this Protocol and as provided for in Article IV of the Agreement, the term Administration(s) shall refer to the Federal Aviation Administration and the Federal Communications Commission of the United States of America and the Secretaria de Comunicaciones y Transportes (SCT/SENEAM) of the United Mexican States.

#### ARTICLE III.

#### **Conditions of Use**

1. The frequency bands set forth in the table below shall be used for aeronautical radionavigation, and aeronautical communications and associated uses in accordance with Appendix I:

		Authorized Coordination Agencies	
Item	Frequency Band	U.S.	Mexico
1	190-285 kHz	FAA	SCT/SENEAM
2	285-435 kHz	FAA	SCT/SENEAM
3	510-535 kHz	FAA	SCT/SENEAM
4	74.8-75.2 MHz	FAA	SCT/SENEAM
5	108-118 MHz	FAA/FCC	SCT/SENEAM
6	118-137 MHz	FAA/FCC	SCT/SENEAM
7	328.6-335.4 MHz	FAA	SCT/SENEAM
8	960-1215 MHz	FAA	SCT/SENEAM
9	1215-1400 MHz	FAA	SCT/SENEAM
10	2700-2900 MHz	FAA	SCT/SENEAM
11	4200-4400 MHz	*	*
12	5000-5250 MHz	FAA/FCC	SCT/SENEAM
13	5350-5470 MHz	*	*
14	9000-9200 MHz	FAA/FCC	SCT/SENEAM
15	13.25-13.4 GHz	*	*
16	15.4-15.7 GHz	*	*

- \* No coordination required at this time.
- 2. The above-mentioned frequency bands are available for use by both countries subject to coordination in the zones established in Appendix I.

#### ARTICLE IV.

#### **Coordination Procedures**

- 1. Before an Administration authorizes a new assignment or a modification to an existing assignment in the frequency bands governed by this protocol in the coordination zones established in Appendix I, it shall coordinate the assignment with the other country. A coordination request shall include the information required for that communication service as listed in Appendix I. The Program Director for Spectrum Policy and Management of the Federal Aviation Administration and the Gerencia de Normas Operacionales de la Secretaria de Comunicaciones y Transportes (SCT/SENEAM) shall conduct the coordination. The medium used for providing the information shall be established by mutual agreement, and the receiving Administration shall acknowledge receipt of the coordination request.
- 2. The affected Administration shall examine the coordination request and shall reply as soon as practicable advising whether or not a conflict is anticipated. If so, the details of the conflict and the particulars of the station likely to experience interference shall be supplied. A counter proposal or discussions on the initial proposal may be initiated with the objective of resolving any problem.
- 3. If adverse comment is not received from the affected Administration with 30 days from the date of the receipt of the proposal, the initiating administration may go ahead with the operation after having notified the other Administration.
- 4. Whenever differences of opinion concerning the probability of harmful interference exist, which cannot be resolved otherwise, or in cases where the information available makes it difficult to determine whether harmful interference would be created by the proposed operation, mutually acceptable arrangements should be made for actual on-the-air tests to be observed by representatives of both the Federal Aviation Administration and Secretaria de Comunicaciones y Transportes/SENEAM. Should harmful interference be caused to the existing station, the administration having jurisdiction over the proposed operation should be notified promptly so that the transmissions of the interfering station may be halted.
- 5. Neither the Federal Aviation Administration nor Secretaria de Comunicaciones Y Transportes/SENEAM shall be bound to act in

accordance with the views of the other. However, to keep such instances to a minimum, each agency should cooperate to the fullest extent practicable with the other by furnishing such additional data as may be required.

#### ARTICLE V.

#### **Master List of Aeronautical Stations**

- 1. Appendices III and IV\* to this Protocol list the existing stations (and their associated technical parameters) of each Administration that are in the frequency bands covered by this Protocol. These stations comprise the initial Master List and are entitled to the protections accorded stations coordinated pursuant to this Protocol. Any future modifications to these stations shall be coordinated with the other Administration in accordance with Article IV of this Protocol.
- 2. In June of each year, the Federal Aviation Administration and Secretaria de Comunicaciones y Transportes/SENEAM shall exchange recapitulative lists of all of their country's assignments for Aeronautical Radionavigation and Aeronautical Communications Services within the coordination zones.
- \* Appendix III (List of U.S. Assignments) and Appendix IV (List of Mexican Assignments) to be maintained by the FAA.

#### ARTICLE VI.

#### **Entry into Force and Termination**

This Protocol shall enter into force on the date of signing. It shall remain in force until it is replaced by a new Protocol, or until it is terminated in accordance with Article VII of the Agreement.

#### APPENDIX I

#### AERONAUTICAL RADIONAVIGATION SERVICE

NDB 190-285, 285-435 and 510-535 kHz
OM/MM 74.8-75.2 MHz
ILS-LOC 108-112 MHz
VOR 108-117.975 MHz
ILS-GS 328.6-335.4 MHz

DME/TACAN	960-1215 MHz
SSR	1030 MHz
Radar	1215-1400 MHz
	2700-2900 MHz
	9000-9200 MHz
MLS	5000-5150 MHz*

<sup>\*</sup> At the present time, there is no MLS use in the band 5091-5150 MHz.

#### **Technical Data Required for Coordination**

- (a) Frequency (provide pulse repetition frequency for SSR)
- (b) Location Name and Geographical Coordinates (NAD-83)
- (c) Class of Emission and Necessary Bandwidth
- (d) Transmitter Mean Power Output (Peak for DME and SSR)
- (e) Antenna Azimuth and Gain in the Event of a Directional Antenna Array
- (f) Facility Service Volume in Terms of Altitude and Radius Protected. (Not applicable to OM/MM. Radius only for NDB's)

#### **Coordination Zones**

135 NM of U.S./Mexican Border
250 NM of U.S./Mexican Border
350 NM of U.S./Mexican Border
10 NM of U.S./Mexican Border
120 NM of U.S./Mexican Border
200 NM of U.S./Mexican Border
400 NM of U.S./Mexican Border
200 NM of U.S./Mexican Border
150 NM of U.S./Mexican Border
200 NM of U.S./Mexican Border

Note 1 - The power for NDB's is the transmitter mean power output.

Note 2 - DME Channels 1 through 16 and 60 through 69 are excluded from coordination between FAA/Mexico.

Note 3 - The frequency of 1090 MHz is excluded from coordination.

## AERONAUTICAL MOBILE (R) SERVICE - AIR TRAFFIC CONTROL 117.975-137.000 MHz

#### **Technical Data Required for Coordination**

- (a) Frequency
- (b) Location Name and Geographic Coordinates
- (c) Class of Emission and Necessary Bandwidth
- (d) Transmitter Mean Power Output
- (e) Antenna Gain and Azimuth in the Event of a Directional

Antenna Array

(f) Facility Service Volume and Function, e.g., Typical Function Service Volumes:

Helicopter Control	30 NM up to 5,000 ft
Local Control and	
VFR Radar Advisory	30 NM up to 20,000 ft
Approach Control	
Including Radar	60 NM up to 25,000 ft
Departure Control	
Including Radar	60 NM up to 20,000 ft
Basic Altitude En Route	100 NM up to 15,000 ft
Intermediate Altitude	
En Route	100 NM up to 24,000 ft
High Altitude En Route	200 NM up to 75,000 ft

#### **Coordination Zones**

#### **Ground Control Frequencies**

121.6-121.9 MHz	25 NM of U.S./ Mexican Border
Low Altitude (up to 24,000 ft)	400 NM of U.S./ Mexican Border
High Altitude (up to 75,000 ft)	600 NM of U.S./ Mexican Border

Note 1: The frequency 121.5 MHz is excluded from coordination.

Note 2: For definitions of abbreviations, see Appendix II, Glossary

#### APPENDIX II

#### **GLOSSARY**

- 1. **DISTANCE MEASURING EQUIPMENT (DME)** Equipment (airborne and ground) used to measure, in nautical miles, the slant range distance of an aircraft from the DME navigational aid.
- INSTRUMENT LANDING SYSTEM (ILS) A
  precision instrument approach system which normally
  consists of the following electronic components and visual
  aids:
  - a. Localizer (LOC) Provides course guidance to the runway.
  - b. Glideslope (GS) Provides vertical guidance for aircraft during approach and landing.
  - c. Outer Marker (OM) A 75 MHz beacon at or near the glideslope intercept altitude of an ILS approach. The OM is normally located four to seven miles from the runway threshold on the extended centerline of the runway.

- d. Middle Marker (MM) A 75 MHz marker beacon that defines a point along the glideslope of an ILS normally located at or near the point of decision height (ILS category I - 200 ft.).
- e. Approach Lighting System (ALS) An airport lighting facility which provides visual guidance to landing aircraft by radiating light beams in a directional pattern by which the pilot aligns the aircraft with the extended centerline of the runway on his final approach for landing.
- 3. **MICROWAVE LANDING SYSTEM (MLS) -** A precision landing system operating in the 5 GHz band.
- 4. **NONDIRECTIONAL BEACON (NDB)** A low/medium frequency (L/MF) or ultra high (UHF) frequency radio beacon transmitting nondirectional signals whereby the pilot of an aircraft equipped with direction finding equipment can determine his bearing to or from the radio beacon and "home" or track to or from the station. When the radio beacon is installed in conjunction with the Instrument Landing System (ILS) marker, it is normally called a Compass Locator.
- 5. **SECONDARY SURVEILLANCE RADAR (SSR)** Also known as a Radar Beacon. A radar system in which the object to be detected is fitted with cooperative equipment in the form of a radio receiver/transmitter (transponder). Radar pulses transmitted from the search transmitter/receiver (interrogator) site are received in the cooperative equipment and used to trigger a distinctive transmission from the transponder.
- 6. TACTICAL AIR NAVIGATION (TACAN) A UHF electronic rho-theta air navigation aid which provides suitably equipped aircraft a continuous indication of bearing and distance to the TACAN station.
- 7. VHF OMNIDIRECTIONAL RANGE (VOR) A ground-based electronic navigation aid transmitting VHF navigation signals, 360 degrees in azimuth, oriented from magnetic north. Used as the basis for navigation in the National Air Space. The VOR periodically identifies itself by Morse Code and may have an additional voice identification feature.
- 3.9.6 Protocol 10 Concerning the Use of Channels in the 932.5-935 MHz and the 941.5-944 MHz Bands for Fixed Point-topoint Services Along the Common Border

(Signed Morelia, Mexico, April 26, 1996)

This Protocol is being concluded pursuant to the Agreement Between the Government of the United States of America and the Government of the United Mexican States Concerning the Allocation and Use of Frequency Bands by Terrestrial Non-Broadcasting Radiocommunication Services Along the Common Border signed June 16, 1994, herein referred to as the Agreement.

#### ARTICLE I.

#### **Purposes**

The purposes of this Protocol are:

- 1. To establish and adopt a common plan for the use of the 932.5-935 and 941.5-944 MHz bands within a distance of 60 kilometers on each side of the common border (Sharing Zone) for fixed point-to-point radiocommunication stations.
- 2. To establish the technical criteria that will permit each Administration to have equitable access to the available channels.
- 3. To establish conditions of use so that each Administration may use the channels allotted to the other country, provided this causes no interference.

#### ARTICLE II.

#### **Definition**

For the purpose of this Protocol and as provided for in Article IV of the Agreement, the term Administration(s) shall refer to the Federal Communications Commission and the National Telecommunications and Administration of the United States of America and the Secretaria de Comunicaciones y Transportes of the United Mexican States.

#### ARTICLE III.

#### **Conditions of Use**

- 1. In the Sharing Zone, the frequencies in the 932.5-935 MHz and 941.5-944 MHz bands shall be shared by the Administrations in accordance with the channeling plan in Appendix I\* to this Protocol, which is an integral part hereof. These frequencies can be used singly but any paired use must be in accordance with the Table.
  - 2. For each of the emission bandwidths provided for

in Appendix I, the individual channels are designated as being either for the primary use of Mexico or primary use of the United States of America or reserved for future use.

\* In recognition of the fact that Mexico needs to move its present users of these frequencies in the bands 932.5-935 MHz and 941.5-944 MHz to other frequency bands before it can make use of the new frequency allocation, the parties have agreed to this protocol and channeling plan until action is taken under Articles VII and VIII. It is the objective of the Administrations to replace the channeling plan and promote the most efficient use of the channels and maximize the sharing of the channels by both countries.

# ARTICLE IV. Technical Criteria

- 1. For fixed point-to-point stations in the Sharing Zone, the maximum equivalent isotropically radiated power shall not exceed 26 dBW (400 watts) within 120 degrees in the direction of the common border.
- 2. The stations must employ antennas that meet or exceed the performance standards for Category B. Category A antennas may be required where coordination or interference problems can be resolved by their use. (See the Table, below.) If Category A antennas are necessary to allow a proposed assignment to be made, they must be employed, beginning with the Administration proposing the assignment.

TA	TABLE OF ANTENNA PERFORMANCE STANDARDS						
		Minimum Radiation Suppression to Angle in Degrees from Centerline of Main Beam in Decibels					
Antenna Category	Maximum Beamwidth to 3 dB Points (Included angle in degrees)	10° to 15°	15° to 20°	20° to 30°	30° to 100°	100° to 140°	140° to 180°
A	14	6	11	14	17	20	24
В	20		6	10	13	15	20

#### ARTICLE V.

#### **Transborder Traffic**

Transborder transmissions by means of private point-to-point links are permitted subject to the authorizations by both administrations in accordance with their regulations in force. Requests for coordination for this type of transmission shall include information on the transborder service to be provided. See Appendix II.

#### ARTICLE VI.

#### **Exchange of Information**

In October of each year, the Federal Communications Commission of the United States of America and the Secretaria de Comunicaciones y Transportes of the United Mexican States shall exchange recapitulative lists of all the assignments made by their countries in the 932.5-935 MHz and 941.5-944 MHz bands within the Sharing Zone, in accordance with Appendix II.

#### ARTICLE VII.

#### **Negotiation of a New Protocol**

Two years from the date of entry into force of this Protocol, the Administrations shall initiate a review of

the use of the bands with the objective of preparing a new Protocol prior to the termination of this Protocol which maximizes the use of the radio electric spectrum, while keeping in mind the existing assignments and the needs of the two countries.

#### ARTICLE VIII.

#### **Entry Into Force and Termination**

This Protocol shall enter into force on the date of signing. It shall remain in force until it is replaced by a new Protocol. If a replacement Protocol is not concluded within three years from the entry into force of this Protocol, it is terminated on that date, unless it is mutually agreed by the Administrations to extend it.

#### APPENDIX I

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932.5-935 AND 941.5-945 MHz BANDS PAIRED FREQUENCIES FOR POINT-TO-POINT ASSIGNMENTS 25 kHz BANDWIDTH PAIRS				
MEXICO		UNITED STATES		
MHz		MHz		
932.5125	941.5125	934.8375	943.8375	
932.5375	941.5375	934.8625	943.8625	
932.5625	941.5625	934.8875	943.8875	
932.5875	941.5875	934.9125	943.9125	
932.6125	941.6125	934.9375	943.9375	
932.6375	941.6375	934.9625	943.9625	
932.6625 941.6625 934.9875 943.9875				

TABLE OF CHANNELS 932.5-935 AND 941.5-945 MHz BANDS PAIRED FREQUENCIES FOR POINT-TO-POINT ASSIGNMENTS 50 kHz BANDWIDTH PAIRS				
MEXICO UNITED STATES			STATES	
M	MHz		Hz	
932.7000	941.7000	934.8000	943.8000	
*RESERVED - 932.7500 and 941.7500 MHz				

# TABLE OF CHANNELS 932.5-935 AND 941.5-945 MHz BANDS PAIRED FREQUENCIES FOR POINT-TO-POINT ASSIGNMENTS 100 kHz BANDWIDTH PAIRS

MEXICO		UNITED STATES		
MHz		MHz		
932.8250	941.8250	934.5250	943.5250	
932.9250	941.9250	934.6250	943.6250	
933.0250	942.0250	934.7250	943.7250	

# TABLE OF CHANNELS 932.5-935 AND 941.5-945 MHz BANDS PAIRED FREQUENCIES FOR POINT-TO-POINT ASSIGNMENTS 200 kHz BANDWIDTH PAIRS

MEXICO		UNITED STATES		
MHz		MHz		
933.1750	942.1750	933.9750	942.9750	
933.3750	942.3750	934.1750	943.1750	
933.5750	942.5750	934.3750	943.3750	
*RESERVED - 933.7750 and 942.7750 MHz				

\* An assignment on a reserved channel can be made by one country only with the concurrence of the other country. An administration requesting concurrence for such an assignment shall provide full justification for its need including an indication that it is not able to satisfy its requirement on any other frequency in the channel plan. Such assignments will be coordinated on a case-by-case basis.

#### **APPENDIX II**

## DATA ELEMENTS USED FOR THE EXCHANGE OF LISTS OF ASSIGNMENTS\*

- (a) Identify number for the assignment
- (b) Radio frequency in Megahertz
- (c) Locations: city and state of the transmitter and receiver
- (d) Latitude and longitude of the transmitter antenna and receiver antenna (degrees, minutes, seconds)

- (e) Emission designator for each carrier
- (f) Total e.i.r.p. in dBW for each carrier
- (g) Transmitter antenna azimuth
- (h) Transmitter antenna polarization
- (i) Maximum transmitter antenna gain in dBi
- (j) Transmitter antenna site ground elevation in meters above mean sea level
- (k) Transmitter antenna radiation centerline height above ground in meters
- (l) Transmitter antenna manufacturer and model number
- (m) Transmitter antenna performance (Category A, B or Other)
- (n) Any other optional information
- \* If an administration submits a request for coordination, such a request should include as a minimum, the data elements listed in this Appendix.
- 3.9.7 Administrative Arrangement Between the United States of America and the United Mexican States Concerning Frequencies Used by the International Boundary and Water Commission

(Signed Queretaro, Mexico, August 11, 1992)

In accordance with the provisions of Article 7 of the Radio Regulations, considered annexed to the International Telecommunications Convention, Nairobi, 1982, the United States of America and the United Mexican States, the Parties, in recognition of the need to protect from harmful interference certain radio frequencies that are used by the United States and Mexican Sections of the International Boundary and Water Commission, have reached an understanding as set forth in the following:

#### ARTICLE I.

#### **Purposes**

The purposes of this Administrative Arrangement are:

- 1. To establish and to protect from harmful interference the radio frequencies used by the United States and Mexican Sections of the International Boundary and Water Commission in administering existing treaties on the subject.
- 2. To establish that the United States and Mexican Sections of the International Boundary and Water Commission can communicate with each other on their own or each other's radio frequencies set forth in this arrangement.

#### ARTICLE II.

#### Frequencies to be Protected

The frequencies used along the United States/Mexico Border by the United States and Mexican Sections of the International Boundary and Water Commission vary from location to location along the border. The frequencies to be used on a shared basis by both Sections of the Commission for land mobile systems are as follows:

#### In the Border Area East of 101° West

162.025/162.175 MHz -- Repeater transmit, base station/mobile receive only.

164.175 MHz -- Repeater receive, base *station/mobile* transmit *only*.

#### In the Border Area Between 101° and 103° West

162.025 MHz -- Repeater transmit, mobile receive only. 164.175 MHz -- Repeater receive, mobile transmit only.

#### In the Border Area Between 104° and 110° West

172.475 MHz -- Repeater receive, base/gage station/mobile ransmit only.

173.175 MHz -- Repeater/base station/mobile transmit, base station/mobile receive, gage station receive only.

#### In the Border Area Between 113° 50 and 115° 15 West

164.475 MHz -- Base station/mobile transmit and receive (Simplex channel).

168.575 MHz -- Repeater receive, base station/mobile transmit only.

172.775 MHz -- Repeater/base station/mobile transmit, base station/mobile receive.

## In the Border Area Within 50 km of 32 $^{\circ}$ 33 $\,$ North and 117 $^{\circ}$ 02 $\,$ West

164.475 MHz -- Base station/mobile transmit and receive (Simplex system).

172.475 MHz -- Mobile only transmit and receive.

The frequencies for the exclusive use of the United States Section for hydrological systems and for data collection etc., and that must be protected from harmful interference, are as follows:

#### In the Border Area East of 101° West

172.4/173.9625 MHz -- Backbone control of repeaters.

169.425 MHz -- Gage stations transmit, repeater/data collection center receive.

173.175 MHz -- Repeater transmit, gage stations receive.

#### In the Border Area Between 101° and 103° West

169.525 MHz -- Gage stations/data collection center transmit, repeater receive.

171.925 MHz -- Repeater transmit, gage stations/data collection center receive.

The frequencies for the exclusive use of the Mexican Section of the Commission that must be protected from harmful interference are as set forth below:

#### In the Border Area East of 101° West

171.850 MHz -- Systems of voice and data transmission 172.600 MHz -- Systems of voice and data transmission

#### In the Border Area Between 101° and 103° West

171.825 MHz -- Systems of voice and data transmission 172.625 MHz -- Systems of voice and data transmission

#### ARTICLE III.

# Technical Parameters of Equipment Associated with the Assignments to be Protected

The technical parameters of the equipment associated with the radio frequency assignments to be protected by this Administrative Arrangement are set forth in Annex I.

#### ARTICLE IV.

# Areas Within which the Frequencies are to be Protected

The areas within which both Administrations will protect the frequencies lie between the following two lines and the common border between the United States and Mexico:

The United States line begins at Point Estero on the coast of California at  $35^{\circ}30^{\circ}N$ ,  $121^{\circ}W$  running by great circle arc to the intersection of  $34^{\circ}N$ ,  $114^{\circ}W$ , thence by great circle arc to the intersection of  $33^{\circ}N$ ,  $112^{\circ}W$ , thence along the parallel  $33^{\circ}N$  to the intersection of  $106^{\circ}W$ , thence by great circle arc to the intersection of  $31^{\circ}30^{\circ}N$ ,  $104^{\circ}W$ , thence by great circle arc to the intersection of  $31^{\circ}N$ ,  $100^{\circ}W$ , thence by great circle arc to the intersection of  $29^{\circ}N$ ,  $99^{\circ}W$ , thence by great circle arc to the intersection of  $27^{\circ}10^{\circ}N$  and the Padre Island - Gulf of Mexico shore at  $97^{\circ}23^{\circ}W$ , at which point it terminates.

The Mexican line begins at the Pacific Ocean of Baja California, thence along parallel 31°20'N to the Gulf of California, thence by great circle arc to the intersection of 30°10'N, 111°W, thence along parallel 30°10'N to the intersection of 107°W, thence by great circle arc to the intersection of 27°30'N, 104°W, thence by great circle arc to the intersection of 28°N, 102°W, thence by great circle arc to the intersection of 24°40'N, 100°W, thence along parallel 24°40'N to the Gulf of Mexico, at which point it terminates.

The above-mentioned areas are those designated in Annex II to this Arrangement.

As stated in Article II above, not all the frequencies to be protected require protection along the entire border, but, rather, within the interference range of the individual stations.

#### ARTICLE V.

#### Protection to be provided

In recognition of the fact that both Parties have already made a considerable number of frequency assignments in the frequency bands that are used by stations of the International Boundary and Water Commission, both Parties will provide one another with an initial listing of all existing assignments on the frequencies to be protected by this Arrangement and, before issuing a frequency authorization for any new or modified frequency assignment on the frequencies used by the other Party, coordinate and request the concurrence of the other Party.

#### ARTICLE VI.

### Period of Effect of the Administrative Arrangement and Amendments

This Administrative Arrangement shall enter into force on its date of signature and may be amended by mutual consent of the Parties.

#### ARTICLE VII.

#### **Termination of the Administrative Arrangement**

This Administrative Arrangement may be terminated by mutual agreement of the Parties or by either Party upon six month notice in writing by one of the Parties.

#### **ANNEX I**

Technical Data for International Boundary & Water Commission, United States Section, VHF Radio Equipment

#### **Transmitter:**

Channel spacing: 25 kHz

Frequency separation between transmitter and receiver (repeater operation):

0.5 MHz minimum with duplexer

Power output:

Base and/or repeater stations --

15 to 100 watts

Mobiles --

15 to 110 watts

Handie-talkies --

5 watts

Modulation:

16KF3E +/- 5 kHz for 100% at 1000 Hz

Oscillator frequency stability:

0.0005% from -30C to +60C ambient.

Frequency tolerance:

Fixed/Mobile -- 5 ppm

Handie-talkie -- 25 ppm

Transmitter sideband noise:

-90 dB @ +/- 30 kHz

-105 dB @ +/- 1 MHz

Spurious & harmonics:

more than 85 dB below carrier

#### **Receiver:**

Oscillator frequency stability:

0.0005% from -30C to +60C ambient

Sensitivity:

20 dB Quieting -- 0.5 uV EIA Sinad -- 0.35 uV

Selectivity (EIA Sinad):

-90 dB

Intermodulation (EIA Sinad):

-80 dB

Spurious & image rejection:

100 dB minimum

Squelch sensitivity:

0.2 uV or less

#### General:

Type of antenna:

Fixed system --

0 to 6 dB omnidirectional

8 to 10 dB directional

Antenna polarization:

Vertical

Hours: 24 hours (continuous)

Technical Data for International Boundary & Water Commission, Mexican Section, VHF Radio Equipment

Channel spacing: 25 kHz

Transmitter and receiver frequency separation, duplex

system:

#### from 600 kHz to 4.5 MHz

#### Maximum power output:

Repeater 100 watts
Base 60 watts
Mobile 45 watts
Handie-Talkies 5 watts

Necessary bandwidth: 16 kHz

Emission designator: 16KF3E

Maximum deviation for 100% modulation with 1000

Hz +/- 5 kHz

Type of antenna:

directional or omnidirectional

Polarization:

horizontal or vertical

Hours: 24 hours

-105 dB, +/- 1 kHz

Spurious and harmonics:

more than 85 dB below carrier

#### **Receiver:**

Oscillator frequency stability:

0.0005% from -30C to +60C

Sensitivity:

20 dB Quieting: 0.5 uV EIA Sinad: 0.35 uV

Selectivity (EIA Sinad):

-90 dB

Intermodulation (EIA Sinad):

-80 dB

#### **Transmitter:**

Channel spacing: 25 kHz

Frequency separation between transmitter and

receiver (repeater operation):

0.5 MHz minimum with duplexer

Power output:

Base and/or repeater stations --

15 to 100 watts

Mobiles --

15 to 110 watts Handie-talkies --5 watts

Modulation:

16KF3E +/- 5 kHz for 100% at 1000 Hz

Oscillator frequency stability:

0.0005% from -30C to +60C

Frequency tolerance:

Fixed/Mobile -- 5 ppm Handie-talkie -- 25 ppm

Transmitter sideband noise:

-90 dB, +/- 30 kHz

Spurious and image rejection:

100 dB minimum

Squelch sensitivity:

0.2 uV or less

#### General:

Type of antenna:

Fixed system --

0 to 6 dB omnidirectional 8 to 10 dB directional

Antenna polarization:

vertical

Hours of operation:

24 hours a day

## ANNEX II



(Full Page Art)

ANNEX II

# 3.9.8 U.S. and Mexican Special Purpose Operations

The United States and Mexico signed an administrative arrangement on July 2, 1991, concerning radio frequencies used for special purposes (IRAC Doc. 28470). In accordance with this agreement, the Department of the Treasury FAS Representative will notify to the Government Master File (GMF) the Mexican frequency uses.

#### 3.9.9 Interference Resolution.

Mexico and the U.S. have established an informal coordination and interference resolution working group. This group is referred to as the "Mixed Commission." The main purpose of this group is to resolve -interference between U.S. and Mexican users. (Procedures for reporting harmful interference are shown in Section 8.2.30.) The resolution of an interference problem may require either the U.S. user or the Mexican user to change frequency. In order to avoid interference problems in the future to the same operations, protection shall be afforded both operations.

In the U.S., the affected U.S. Government agency FAS Representative shall prepare and submit to the FAS/NTIA for review and approval the Mexican use if both Administrations agree that Mexico is to remain on the frequency. If the Mexican user is to change frequency, the U.S. Government agency FAS Representative shall prepare and submit to the FAS/NTIA for review and approval the proposed Mexican frequency. Until the proposed frequency is approved by FAS/NTIA it shall not be proposed to the Mexican user, or agreed to between any U.S. agency and Mexican authorities. In the case where the U.S. agency is proposing a replacement frequency, this is to be done **prior** to proposing the frequency to In the case where the Mexicans are proposing the frequency, this is to be done **prior** to agreeing to their proposal.

The Mexican applications will appear on the daily FAS agendas for review. The Mexican application shall bear a "Mexican" serial number. "Mexican" serial numbers are obtained from the NTIA/Frequency Assignment and IRAC Administrative Support Division (FA&IASD).

(Last page in Chapter 3)